

# CIS 5560

## Cryptography Lecture 22

**Course website:**

[pratyushmishra.com/classes/cis-5560-s24/](https://pratyushmishra.com/classes/cis-5560-s24/)

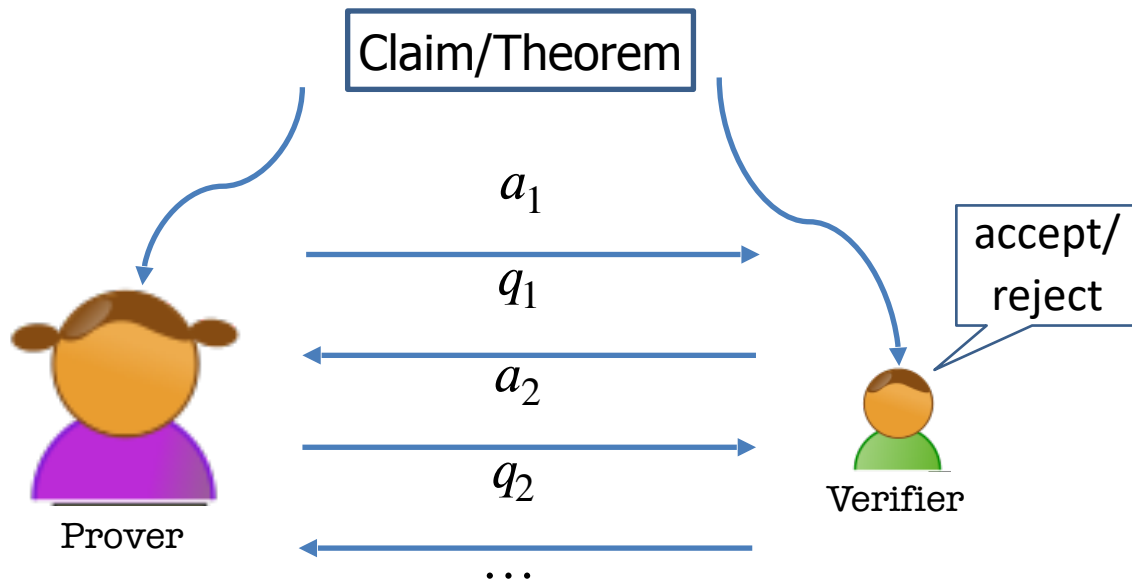
# Announcements

- **HW 9** due **Wednesday Apr 17** at 11:59PM on Gradescope
- **HW10** will be released tomorrow evening/Thursday morning
  - Due **Wednesday Apr 24** at 11:59PM on Gradescope

# Recap of last lecture

- What is a proof?
- Interactive Proofs
- *Zero-knowledge* interactive proofs
  - Definition
- ZKP for Graph Isomorphism
- ZKP for Quadratic Residuosity

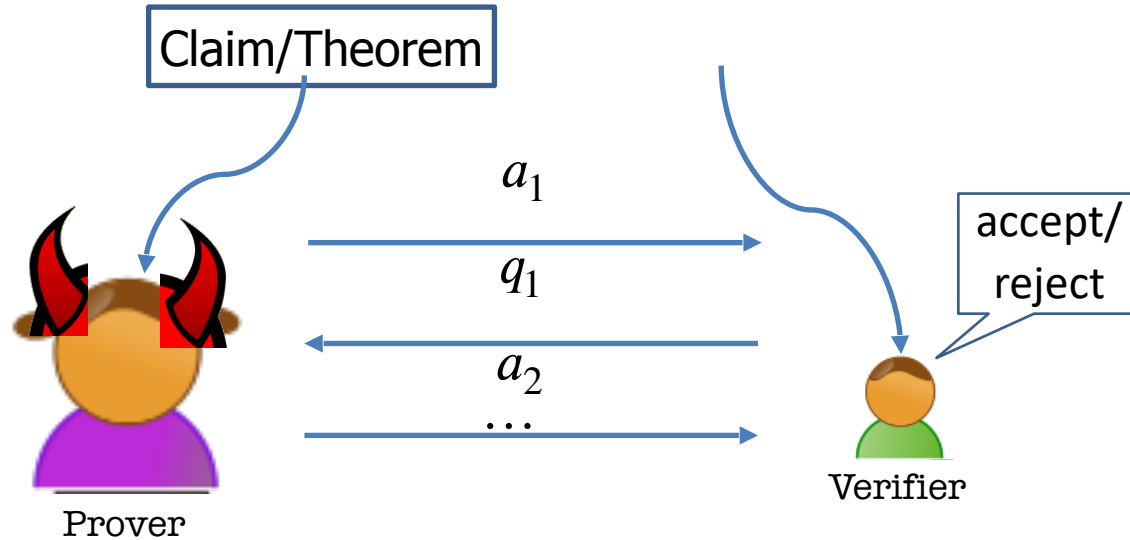
# Interactive Proofs for a Language $\mathcal{L}$



**Comp. Unbounded**

**Probabilistic**  
**Polynomial-time**

# Interactive Proofs for a Language $\mathcal{L}$



**Def:**  $\mathcal{L}$  is an IP-language if there is a unbounded P and **probabilistic poly-time** verifier  $V$  where

- **Completeness:** If  $x \in \mathcal{L}$ ,  $V$  always accepts.
- **Soundness:** If  $x \notin \mathcal{L}$ , **regardless of the cheating prover strategy**,  $V$  accepts with negligible probability.

# How to Define Zero-Knowledge?

$(P, V)$  is zero-knowledge if  $V$  can  
“simulate” his **VIEW** of the interaction **all by**  
**himself** in **probabilistic polynomial time**.

# Perfect Zero Knowledge: Definition

An Interactive Protocol  $(P,V)$  is **perfect zero-knowledge** for a language  $L$  if there exists a PPT algorithm  $S$  (a simulator) such that for every  $x \in L$ , the following two distributions are **identical**:

1.  $view_V(P, V)$
2.  $S(x, 1^\lambda)$

$(P,V)$  is a zero-knowledge interactive protocol if it is complete, sound and zero-knowledge.



# Computational Zero Knowledge: Definition

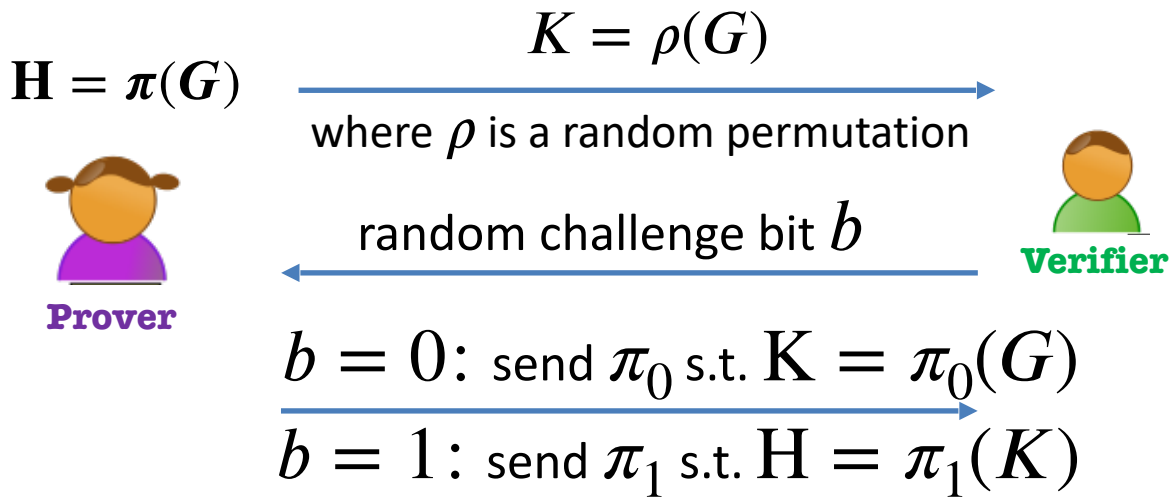
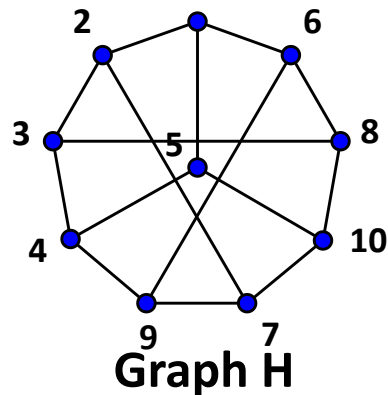
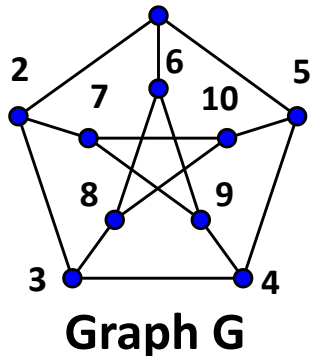
An Interactive Protocol  $(P,V)$  is **computational zero-knowledge** for a language  $L$  if there exists a PPT algorithm  $S$  (a simulator) such that for every  $x \in L$ , the following two distributions are **computationally indistinguishable**:

1.  $view_V(P, V)$

2.  $S(x, 1^\lambda)$

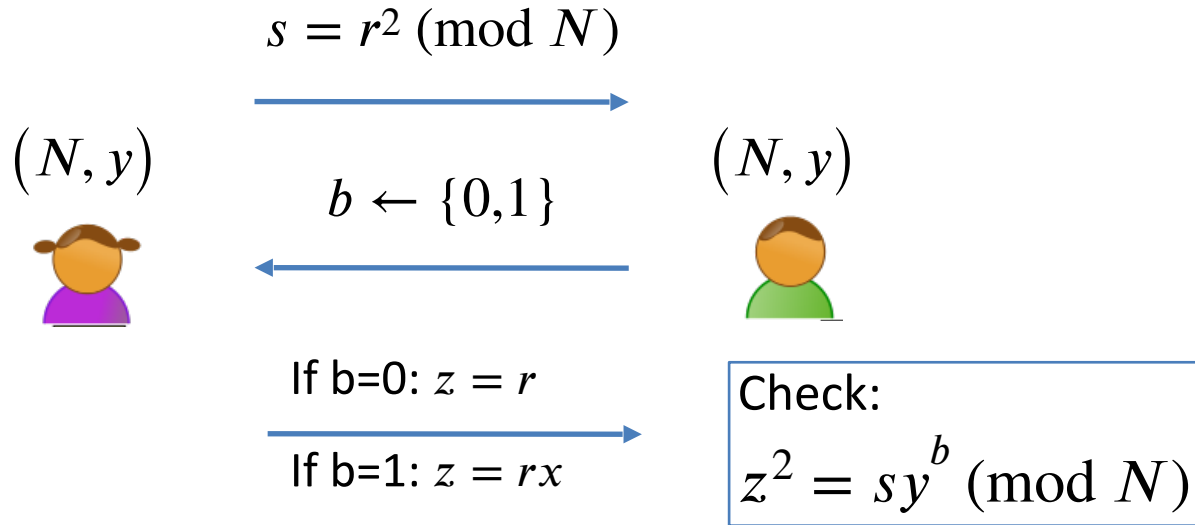
$(P,V)$  is a zero-knowledge interactive protocol if it is complete, sound and zero-knowledge.

# ZK Proof for Graph Isomorphism



# ZK Proof for QR

$$\mathcal{L} = \{(N, y) \mid \exists x \in \mathbb{Z}_N, y = x^2 \pmod N\}.$$



# Today's Lecture

- Malicious-verifier/“standard” ZK
  - ZKPs for GI and for QR achieve standard ZK
- ZKP for Quadratic Residuosity

# What if $V$ is NOT HONEST?

OLD DEF

An Interactive Protocol  $(P, V)$  is **honest-verifier** perfect zero-knowledge for a language  $L$  if there exists a PPT simulator  $S$  such that for every  $x \in L$ , the following two distributions are identical:

1.  $\text{view}_V(P, V)$
2.  $S(x, 1^\lambda)$

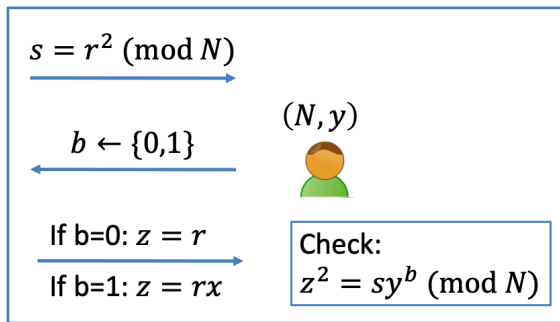
REAL DEF

An Interactive Protocol  $(P, V)$  is **perfect zero-knowledge** for a language  $L$  if **for every PPT  $V^*$** , there exists a (expected) poly time simulator  $S$  s.t. for every  $x \in L$ , the following two distributions are identical:

1.  $\text{view}_{V^*}(P, V^*)$
2.  $S(x, 1^\lambda)$

# Old: Honest-Verifier ZK

**Claim:** The QR protocol is honest-verifier zero knowledge.



$view_V(P, V)$ :  
 $(s, b, z)$

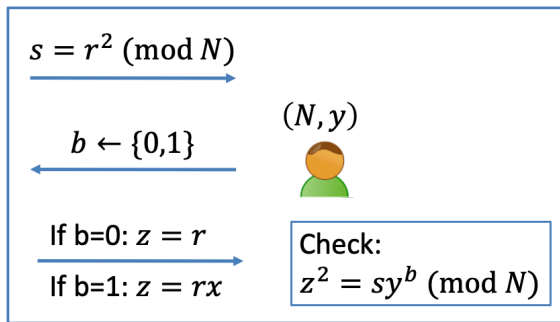
**Simulator S works as follows:**

1. First pick a random bit  $b$ .
2. pick a random  $z \in Z_N^*$ .
3. compute  $s = z^2 / y^b$ .
4. output  $(s, b, z)$ .

**Exercise:** The simulated transcript is identically distributed as the real transcript in the interaction  $(P, V)$ .

# Now: Malicious Verifier ZK

**Theorem:** The QR protocol is (malicious verifier) zero knowledge.



$view_{V^*}(P, V^*)$ :  
 $(s, b, z)$

**Simulator S works as follows:**

1. First pick a random  $s$  and “feed it to”  $V^*$ .
2. Let  $b = V^*(s)$ .

Now what???

# Now: Malicious Verifier ZK

**Theorem:** The QR protocol is (malicious verifier) zero knowledge.

**Simulator S works as follows:**

1. First set  $s = \frac{z^2}{y^b}$  for a random  $z$  and  $b$  and feed  $s$  to  $V^*$ .
2. Let  $b' = V^*(s)$ .
3. If  $b' = b$ , output  $(s, b, z)$  and stop.
4. Otherwise, go back to step 1 and repeat. (also called “rewinding”).



## Simulator S works as follows:

1. First set  $s = \frac{z^2}{y^b}$  for a random  $z$  and feed  $s$  to  $V^*$ .
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3. If  $b' = b$ , output  $(s, b, z)$  and stop.
4. Otherwise, go back to step 1 and repeat. (also called “rewinding”).

## Lemma:

- (1) S runs in expected polynomial-time.
- (2) When S outputs a view, it is identically distributed to the view of  $V^*$  in a real execution.

# What Made it Possible?

1. **Each statement had multiple proofs** of which the prover chooses one at random.
2. **Each such proof is made of two parts:** seeing either one on its own gives the verifier no knowledge; seeing both imply 100% correctness.
3. **Verifier chooses to see either part, at random.** The prover's ability to provide either part on demand convinces the verifier.

# Do all NP languages have Perfect ZK proofs?

We showed two NP languages with perfect ZK proofs. Can we show this for *all* NP languages?

**Theorem** [Fortnow'89, Aiello-Hastad'87] No, unless bizarre stuff happens in complexity theory (technically: the polynomial hierarchy collapses.)

# Do all NP languages have

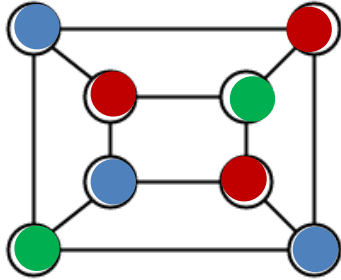
Winner of 2024 Turing Award!

**Nevertheless, today, we will show:**

**Theorem** [Goldreich-Micali-Wigderson'87] Assuming one-way functions exist, all of NP has computational zero-knowledge proofs.

*This theorem is amazing*: it tells us that everything that can be proved (in the sense of Euclid) can be proved in zero knowledge!

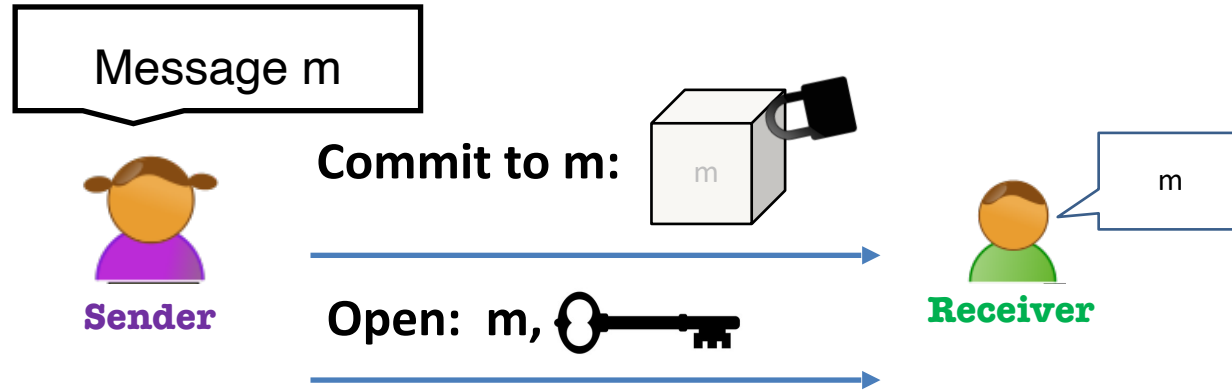
# Zero Knowledge Proof for 3-Coloring



## ***NP-Complete Problem:***

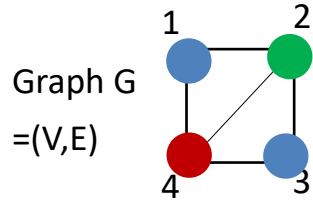
Every other problem in NP can be reduced to it.

# We need a *commitment scheme*

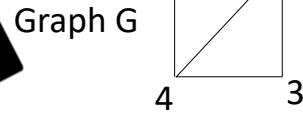
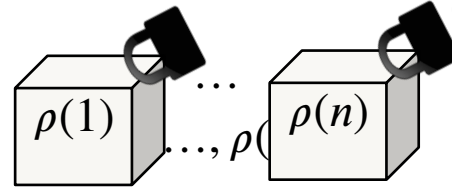


1. **Hiding:** The locked box should completely hide  $m$ .
2. **Binding:** Sender shouldn't be able to open to different msg  $m'$ .

# Zero Knowledge Proof for 3COL



Come up with a  
random perm  
of the colors  
 $\rho: V \rightarrow \{R, B, G\}$

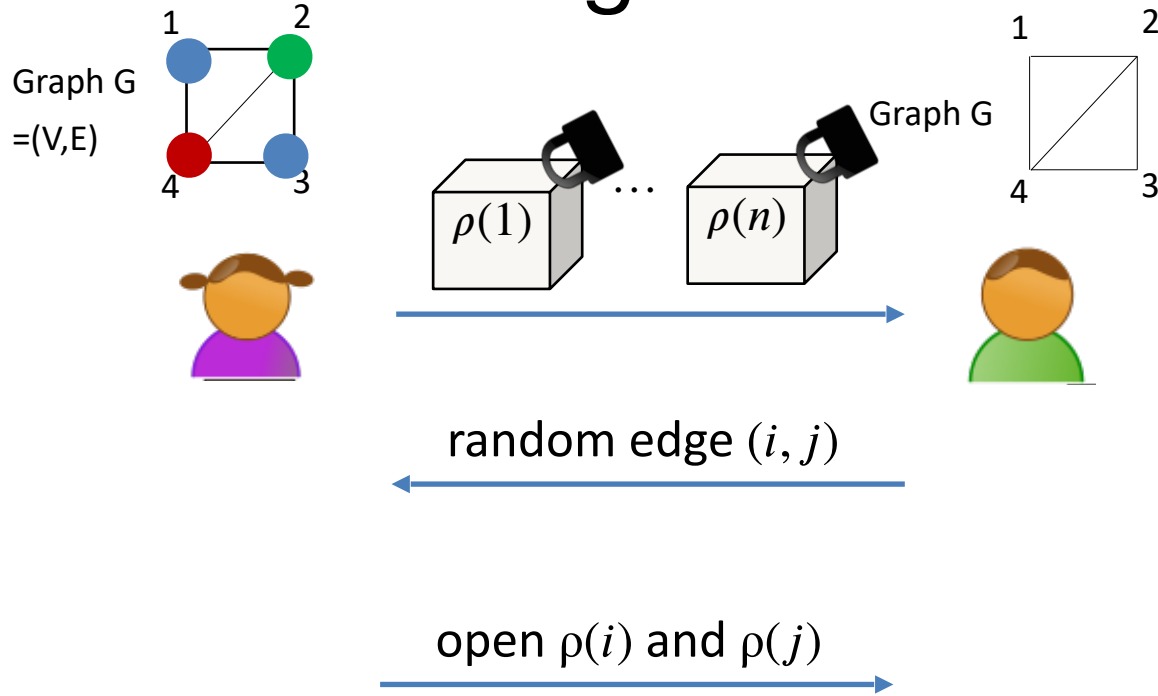


random edge  $(i, j)$

open  $\rho(i)$  and  $\rho(j)$

1. Check the openings
2. Check:  $\rho(i), \rho(j) \in \{R, B, G\}$
3. Check:  $\rho(i) \neq \rho(j)$ .

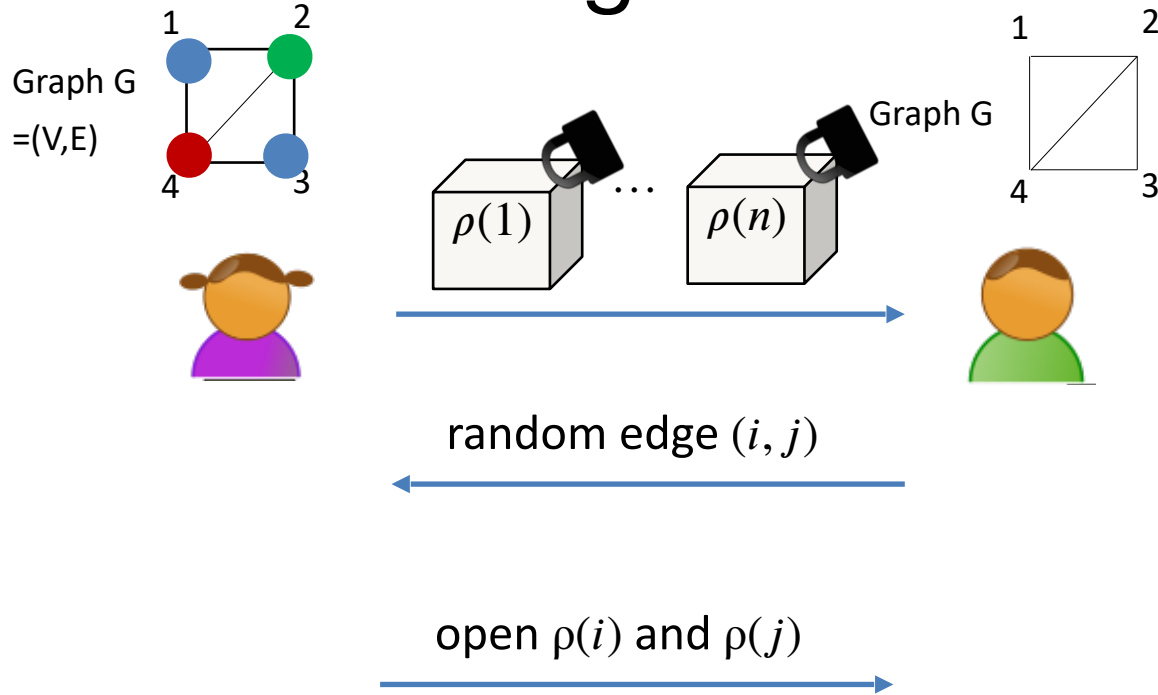
# Zero Knowledge Proof for 3COL



**Completeness:** Exercise.

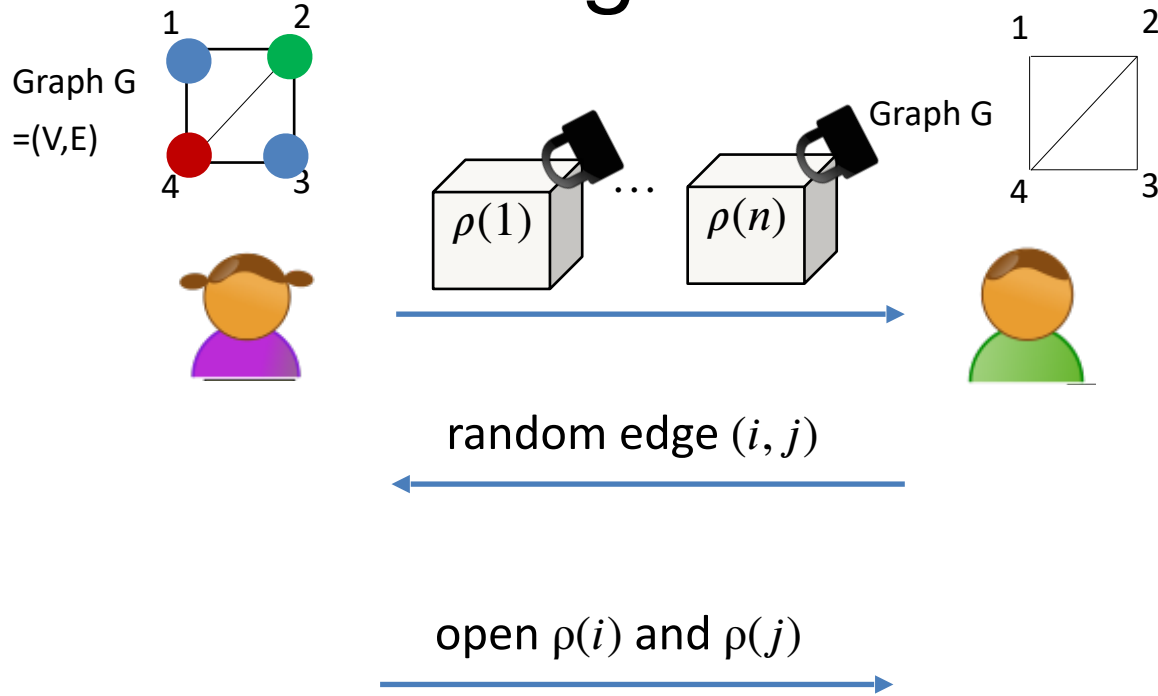


# Zero Knowledge Proof for 3COL



**Soundness:** If the graph is not 3COL, in every 3-coloring (that P commits to), there is some edge whose end-points have the same color. V will catch this edge and reject with probability  $\geq 1/|E|$ .

# Zero Knowledge Proof for 3COL

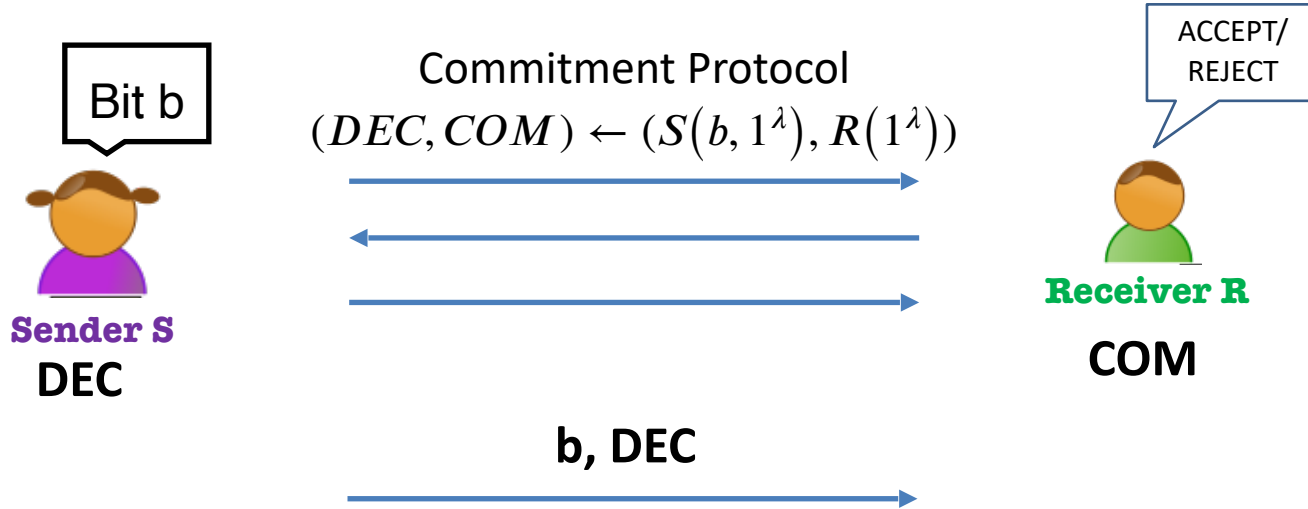


**Repeat**  $|E| \cdot \lambda$  times to get the verifier to accept with probability

$$\leq (1 - 1/|E|)^{|E| \cdot \lambda} \leq 2^{-\lambda}$$

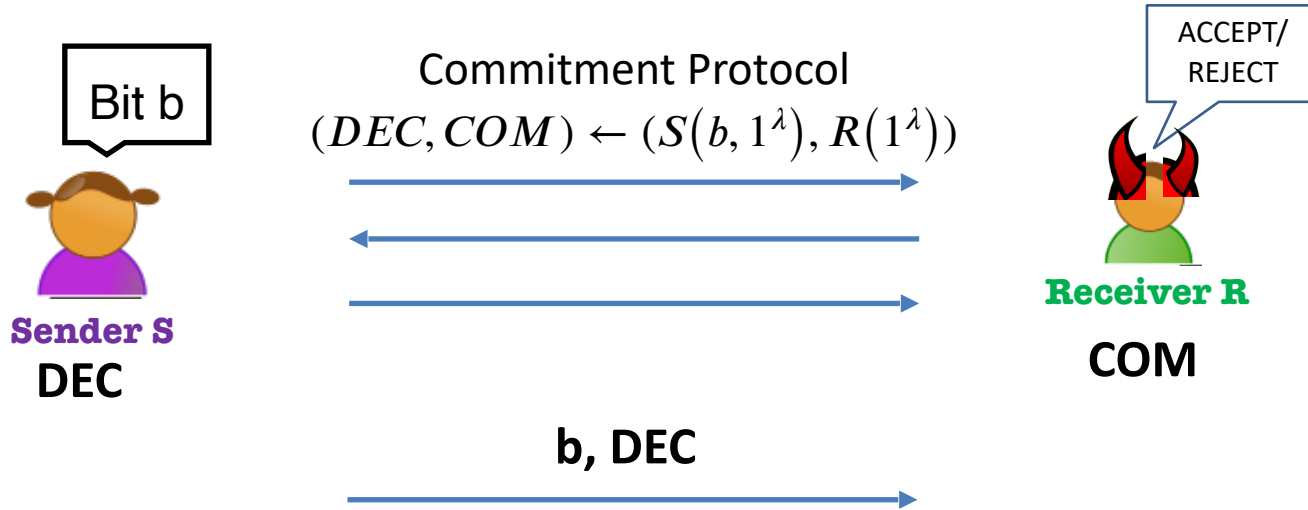
# Constructing Commitment Schemes

# Commitment Schemes



1. **Completeness:** R always accepts in an honest execution.

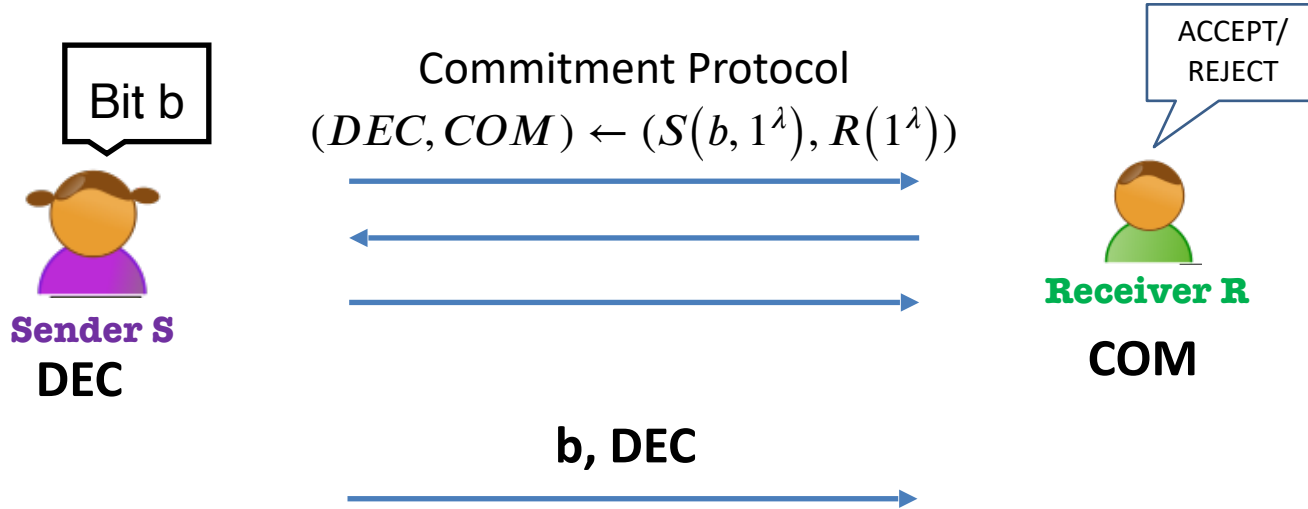
# Commitment Schemes



**2. Computational Hiding:** For every possibly malicious (PPT)  $R^*$ ,

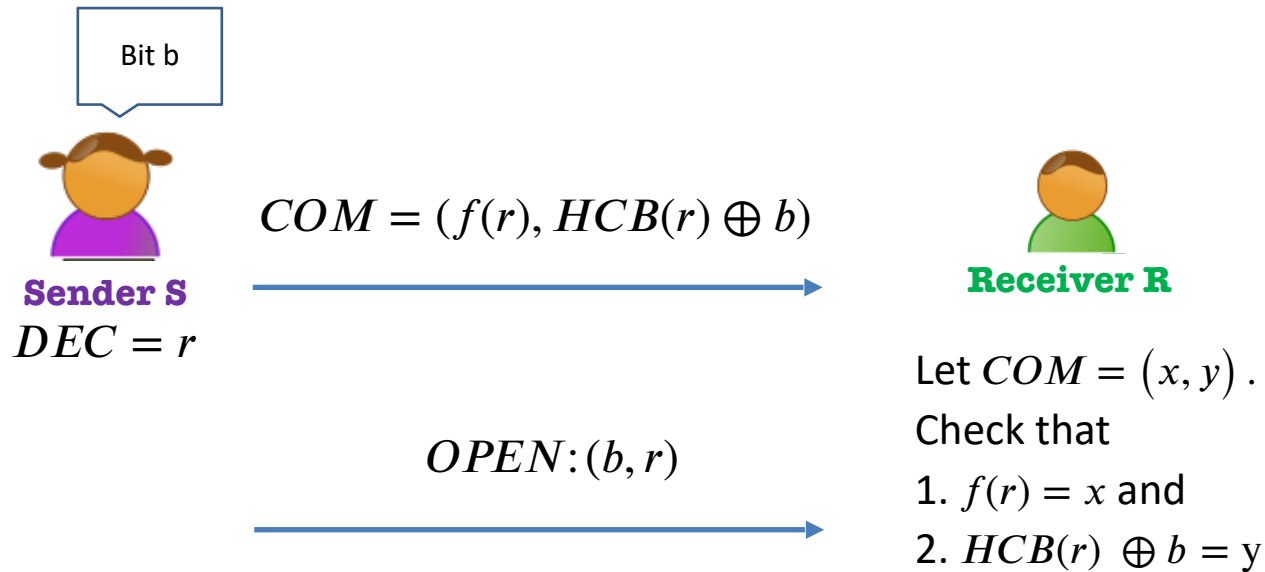
$$view_{R^*}(S(0), R^*) \approx_c view_{R^*}(S(1), R^*)$$

# Commitment Schemes



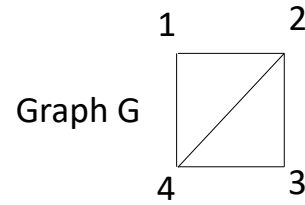
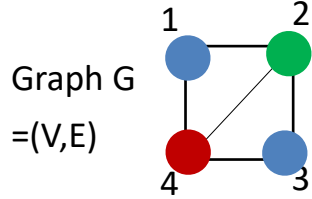
**3. Perfect Binding:** For every possibly malicious  $S^*$ , let  $COM$  be the receiver's output in an execution of  $(S^*, R)$ . There is no pair of decommitments  $(DEC_0, DEC_1)$  s.t.  $R$  accepts both  $(com, 0, DEC_0)$  and  $(com, 1, DEC_1)$ .

# A Commitment Scheme from any OWP



1. **Completeness:** Exercise.
2. **Comp. Hiding:** by the hardcore bit property.
3. **Perfect Binding:** because  $f$  is a permutation.

# Back to ZK Proof for 3COL



$\{Com(\rho(k); r_k)\}_{k=1}^n$



random edge  $(i, j)$



send openings  $\rho(i), r_i$  and  $\rho(j), r_j$





# Why is this zero-knowledge?

Simulator  $S$  works as follows:

1. First pick a random edge  $(i^*, j^*)$

Color vertices  $i^*$  and  $j^*$  with  
random, different colors  
Color all other vertices red.

2. Feed the commitments of the  
colors to  $V^*$  and get edge  $(i, j)$

3. If  $(i, j) \neq (i^*, j^*)$ , go back and  
repeat.

4. If  $(i, j) = (i^*, j^*)$ , output the commitments and  
openings  $r_i$  and  $r_j$  as the simulated transcript.

$\{Com(\rho(k); r_k)\}_{k=1}^n$



edge  $(i, j)$



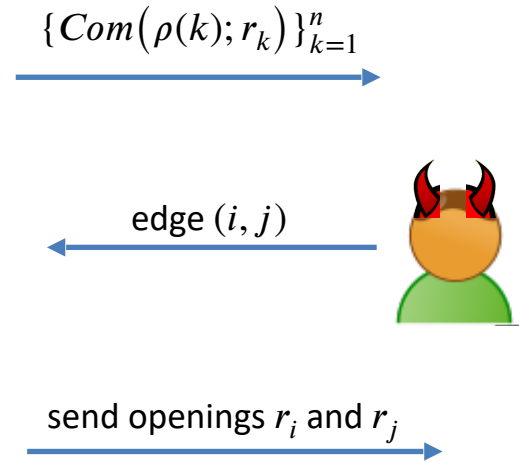
send openings  $r_i$  and  $r_j$



# Why is this zero-knowledge?

## Lemma:

- (1) Assuming the commitment is hiding, S runs in expected polynomial-time.
- (2) When S outputs a view, it is comp. indist. from the view of  $V^*$  in a real execution.



# Why is this zero-knowledge?

Simulator  $S$  works as follows (call this Hybrid 0)

1. First pick a random edge  $(i^*, j^*)$

Color vertices  $i^*$  and  $j^*$  with  
random, different colors  
Color all other vertices red.

$\{Com(\rho(k); r_k)\}_{k=1}^n$



2. Feed the commitments of the  
colors to  $V^*$  and get edge  $(i, j)$

edge  $(i, j)$



3. If  $(i, j) \neq (i^*, j^*)$ , go back and  
repeat.

send openings  $r_i$  and  $r_j$



4. If  $(i, j) = (i^*, j^*)$ , output the commitments and  
openings  $r_i$  and  $r_j$  as the simulated transcript.

# Why is this zero-knowledge?

Not-a-Simulator  $S$  works as follows (call this Hybrid 1)

1. First pick a random edge  $(i^*, j^*)$

Permute a legal coloring and  
color all vertices correctly.

$\{Com(\rho(k); r_k)\}_{k=1}^n$



2. Feed the commitments of the  
colors to  $V^*$  and get edge  $(i, j)$

edge  $(i, j)$



3. If  $(i, j) \neq (i^*, j^*)$ , go back and  
repeat.

send openings  $r_i$  and  $r_j$



4. If  $(i, j) = (i^*, j^*)$ , output the commitments and  
openings  $r_i$  and  $r_j$  as the simulated transcript.

# Why is this zero-knowledge?

**Claim:** Hybrids 0 and 1 are computationally indistinguishable, assuming the commitment scheme is computationally hiding.

**Proof:** By contradiction. Show a reduction that breaks the hiding property of the commitment scheme, assuming there is a distinguisher between hybrids 0 and 1.

# Why is this zero-knowledge?

Not-a-Simulator  $S$  works as follows (call this Hybrid 1)

1. First pick a random edge  $(i^*, j^*)$

Permute a legal coloring and  
color all vertices correctly.

$\{Com(\rho(k); r_k)\}_{k=1}^n$



2. Feed the commitments of the  
colors to  $V^*$  and get edge  $(i, j)$

edge  $(i, j)$



3. If  $(i, j) \neq (i^*, j^*)$ , go back and  
repeat.

send openings  $r_i$  and  $r_j$



4. If  $(i, j) = (i^*, j^*)$ , output the commitments and  
openings  $r_i$  and  $r_j$  as the simulated transcript.


# Why is this zero-knowledge?

Here is the real view of  $V^*$  (Hybrid 2)

1. ~~First pick a random edge  $(i^*, j^*)$~~

Permute a legal coloring and color all edges correctly.

$\{Com(\rho(k); r_k)\}_{k=1}^n$




2. Feed the commitments of the colors to  $V^*$  and get edge  $(i, j)$

edge  $(i, j)$



3. ~~If  $(i, j) \neq (i^*, j^*)$ , go back and repeat.~~

send openings  $r_i$  and  $r_j$



4. ~~If  $(i, j) = (i^*, j^*)$ , output the commitments and openings  $r_i$  and  $r_j$  as the transcript.~~

# Why is this zero-knowledge?

**Claim:** Hybrids 1 and 2 are identical.

Hybrid 1 merely samples from the same distribution as Hybrid 2 and, with probability  $1 - 1/|E|$ , decides to throw it away and resample.



# Put together:

**Theorem:** The 3COL protocol is zero knowledge.

# Examples of NP Assertions

- **My public key is well-formed** (e.g. in RSA, the public key is  $N$ , a product of two primes together with an  $e$  that is relatively prime to  $\varphi(N)$  . )
- **Encrypted bitcoin (or Zcash): “I have enough money to pay you.”** (e.g. I will publish an encryption of my bank account and prove to you that my balance is  $\geq \$X$  . )
- **Running programs on encrypted inputs:** Given  $\text{Enc}(x)$  and  $y$ , prove that  $y = \text{PROG}(x)$ .

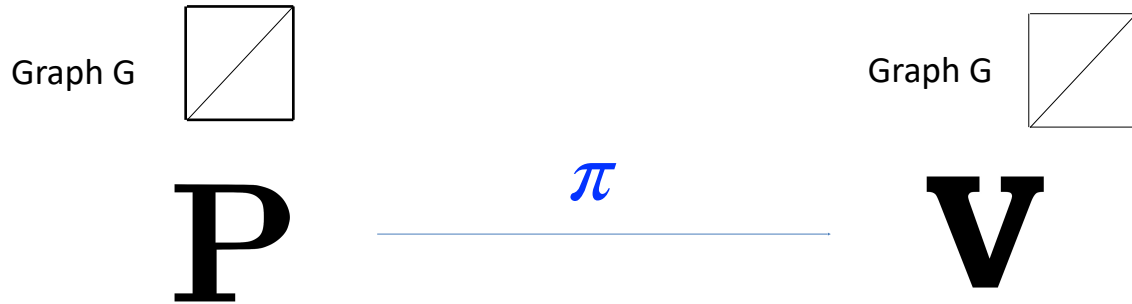
# Examples of NP Assertions

- **Running programs on encrypted inputs:** Given  $\text{Enc}(x)$  and  $y$ , prove that  $y = \text{PROG}(x)$ .

**More generally: A tool to enforce honest behavior without revealing information.**

# Interaction is Necessary for ZK

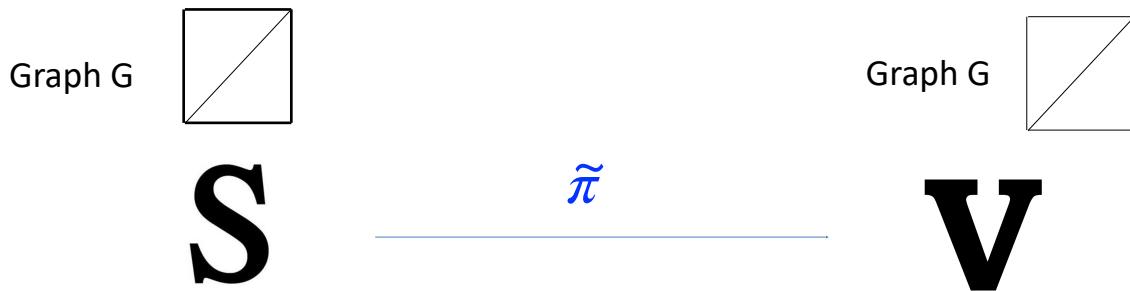
Suppose there *were* a non-interactive ZK proof system for 3COL.



Step 1. When  $G$  is in 3COL,  $V$  accepts the proof  $\pi$ .  
(Completeness)

# Interaction is Necessary for ZK

Suppose there *were* a non-interactive ZK proof system for 3COL.

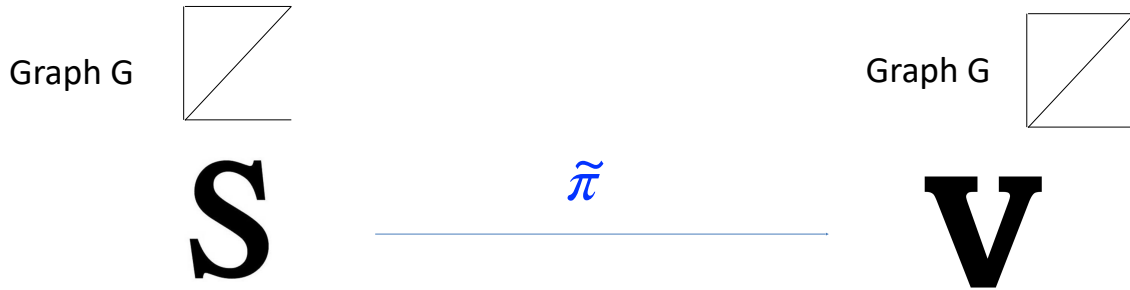


Step 2. **PPT Simulator S, given only G in 3COL, produces an indistinguishable proof  $\tilde{\pi}$  (Zero Knowledge).**

**In particular, V accepts  $\tilde{\pi}$ .**

# Interaction is Necessary for ZK

Suppose there *were* a non-interactive ZK proof system for 3COL.



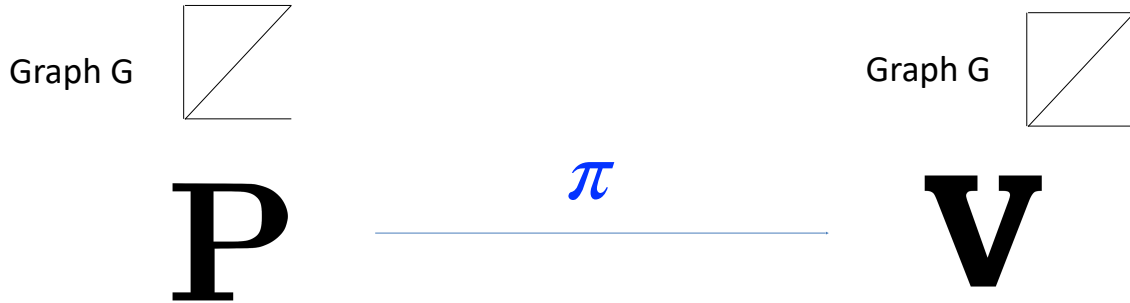
Step 3. Imagine running the Simulator  $S$  on a  $G \notin 3COL$ .

It produces a proof  $\tilde{\pi}$  which the verifier still accepts!

**(WHY?! Because  $S$  and  $V$  are PPT. They together cannot tell if the input graph is 3COL or not)**

# Interaction is Necessary for ZK

Suppose there *were* a non-interactive ZK proof system for 3COL.



Step 4. **Therefore, S is a cheating prover!**

Produces a proof for a  $G \notin 3\text{COL}$  that the verifier nevertheless accepts.

**Ergo, the proof system is NOT SOUND!**

