

# CIS 5560

## Cryptography Lecture 18

Course website:

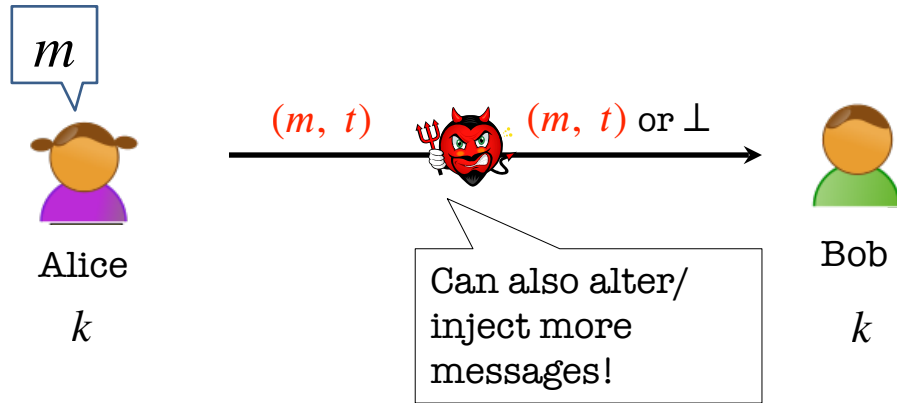
[pratyushmishra.com/classes/cis-5560-s24/](https://pratyushmishra.com/classes/cis-5560-s24/)

# Announcements

- **HW 8 out Wednesday evening**
  - Due **Wednesday Apr 10** at 11:59PM on Gradescope
  - Covers
    - RSA
    - little bit of IND-CCA PKE

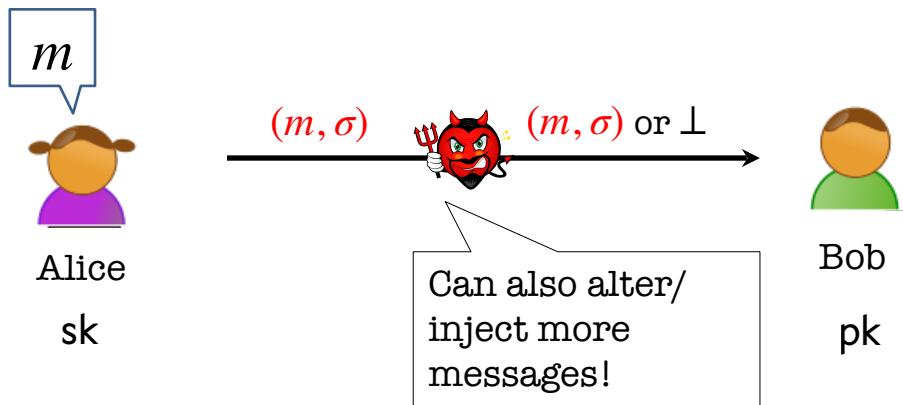
# Recap of last lecture

# Symmetric-key Message Authentication



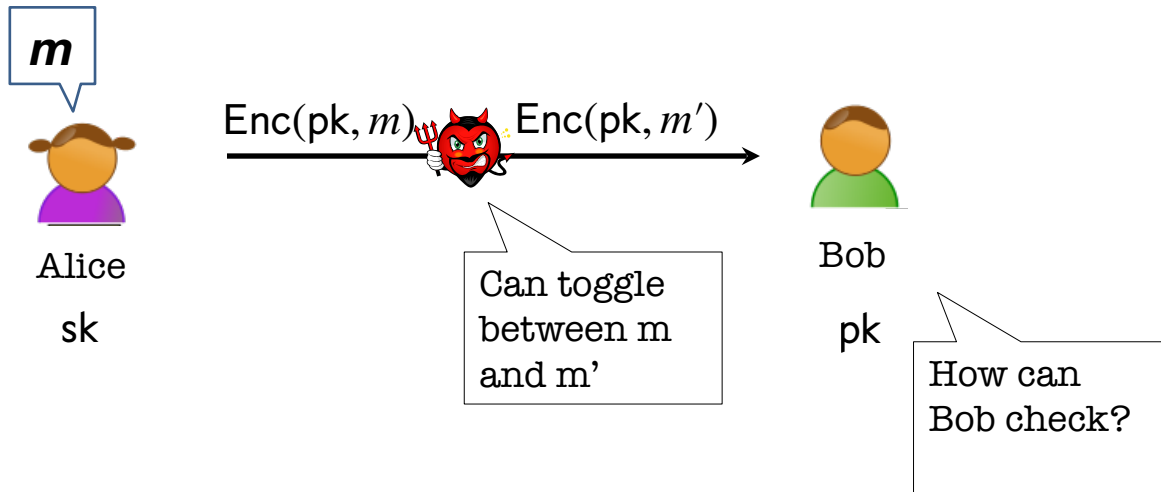
We want Alice to generate a **tag** for the message  $m$  which is **hard to generate** without the secret key  $k$ .

# Public-key Message Authentication?



We want Alice to generate a **signature** for the message  $m$  which is **hard to forge** without the secret/signing key  $sk$ .

# Does PKE not solve this?



Anybody can encrypt, and no way for recipient to check.

New primitive: Digital Signatures

# Digital Signatures: Definition

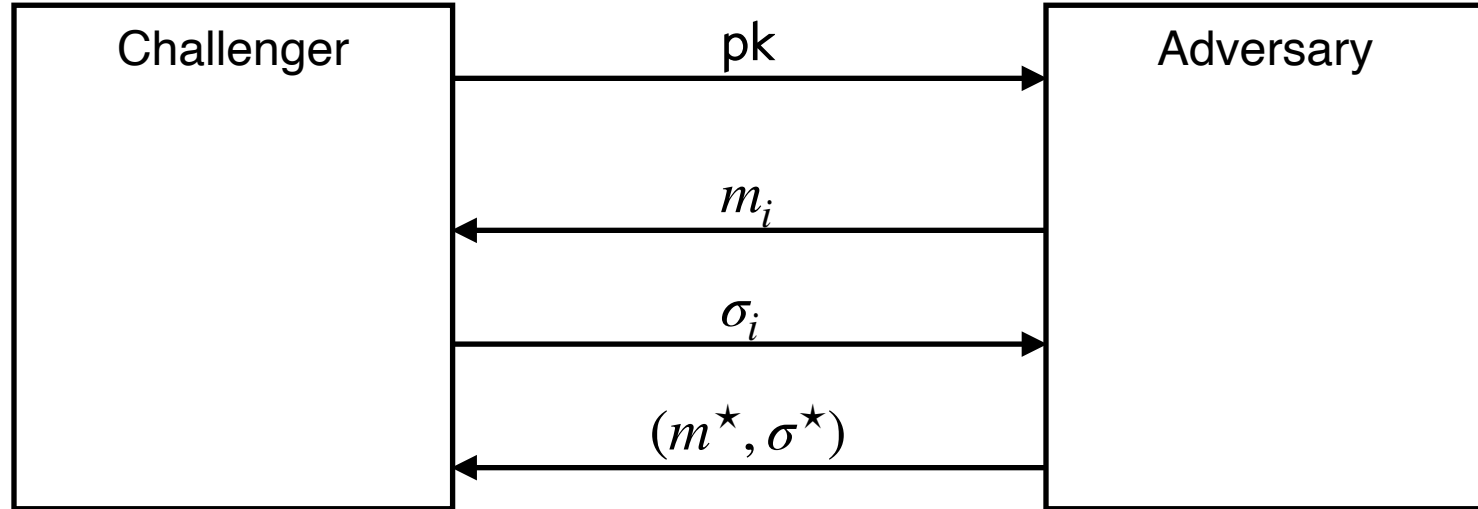
A triple of PPT algorithms (**Gen**, **Sign**, **Verify**) such that

- Key generation: **Gen**( $1^n$ )  $\rightarrow$  (sk, pk)
- Message signing: **Sign**(sk,  $m$ )  $\rightarrow$   $\sigma$
- Signature verification: **Verify**(pk,  $m$ ,  $\sigma$ )  $\rightarrow b \in \{0,1\}$

**Correctness:** For all vk, sk,  $m$ : **Verify**(pk,  $m$ , **Sign**(sk,  $m$ )) = 1

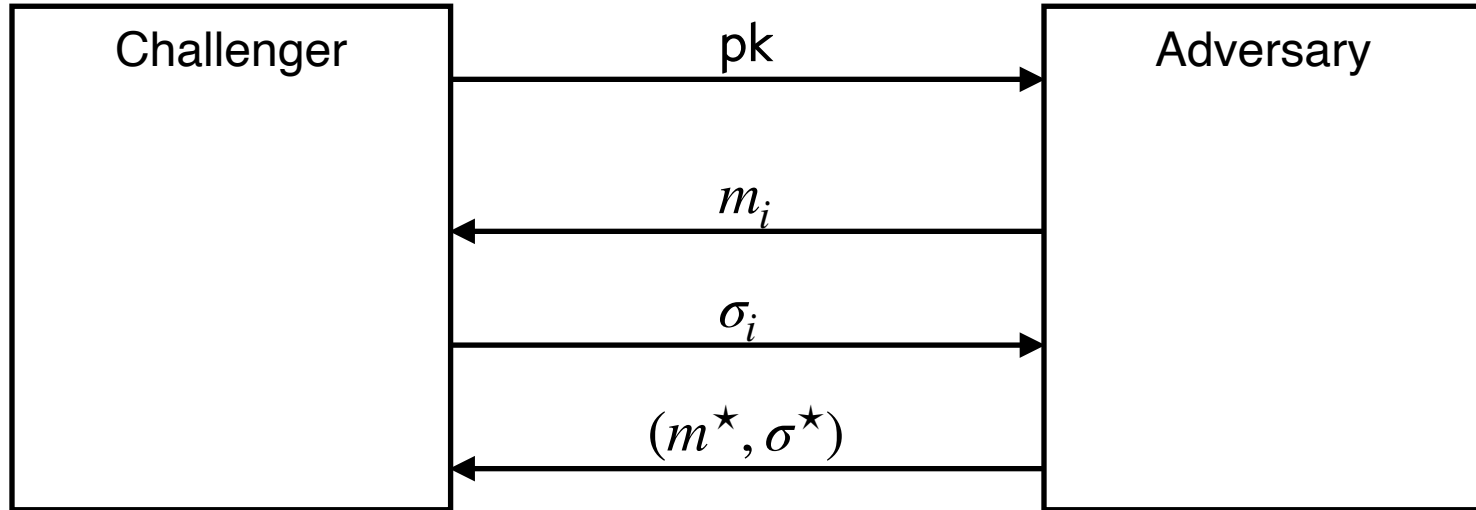


# EUF-CMA for Signatures



$$\Pr \left[ \begin{array}{l} m^* \notin \{m_i\} \\ \text{and} \\ \text{Verify}(pk, m^*, \sigma^*) = 1 \end{array} \right] = \text{negl}(\lambda)$$

# Strong EUF-CMA for Signatures



$$\Pr \left[ \begin{array}{l} (m^*, \sigma^*) \notin \{(m_i, \sigma_i)\} \\ \text{and} \\ \text{Verify}(pk, m^*, \sigma^*) = 1 \end{array} \right] = \text{negl}(\lambda)$$

# Digital Signatures vs. MACs

## Signatures

$n$  users require  $n$  key-pairs

Publicly Verifiable

**Transferable**

**Provides Non-Repudiation**

(is this a good thing or a bad thing?)

## MACs

$n$  users require  $n^2$  keys

Privately Verifiable

**Not Transferable**

Does not provide Non-Rep.

Let  $(\text{Gen}, \text{Sign}, \text{V})$  be a signature scheme.

Suppose an attacker is able to find  $m_0 \neq m_1$  such that

$$\mathbf{V(pk, m_0, \sigma) = V(pk, m_1, \sigma)} \quad \text{for all } \sigma \text{ and keys } (pk, sk) \leftarrow \text{Gen}$$

Can this signature be secure?

- Yes, the attacker cannot forge a signature for either  $m_0$  or  $m_1$
- No, signatures can be forged using a chosen msg attack
- It depends on the details of the scheme

Alice generates a  $(pk, sk)$  and gives  $pk$  to her bank.

Later Bob shows the bank a message  $m = \text{"pay Bob 100\$"}'$   
properly signed by Alice, i.e.  $\text{Verify}(pk, m, sig) = 1$

Alice says she never signed  $m$ . Is Alice lying?

- Alice is lying: existential unforgeability means Alice signed  $m$  and therefore the Bank should give Bob 100\$ from Alice's account
- Bob could have stolen Alice's signing key and therefore the bank should not honor the statement
- What a mess: the bank will need to refer the issue to the courts

# Applications

# Applications

## Code signing:

- Software vendor signs code
- Clients have vendor's pk. Install software if signature verifies.

software vendor



initial software install (pk)

[ software update #1 , sig ]

[ software update #2 , sig ]

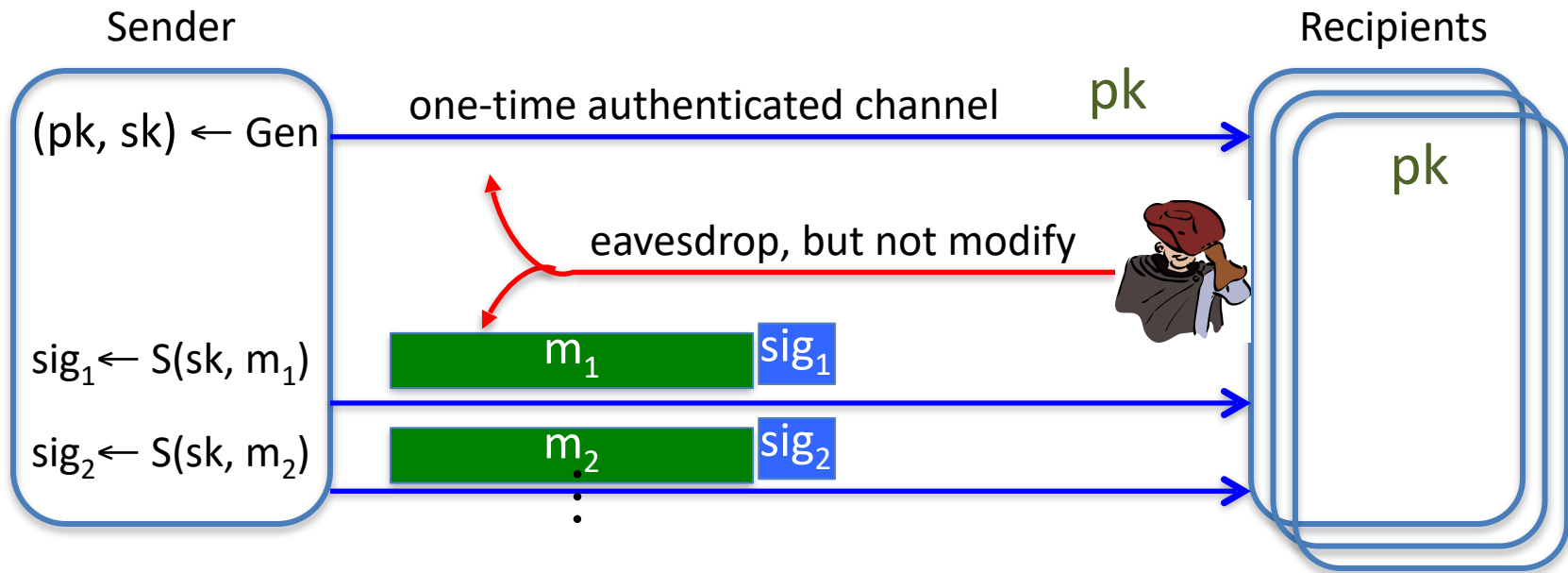
many clients



# More generally:

One-time authenticated channel (non-private, one-directional)  
 $\Rightarrow$  many-time authenticated channel

Initial software install is authenticated, but not private

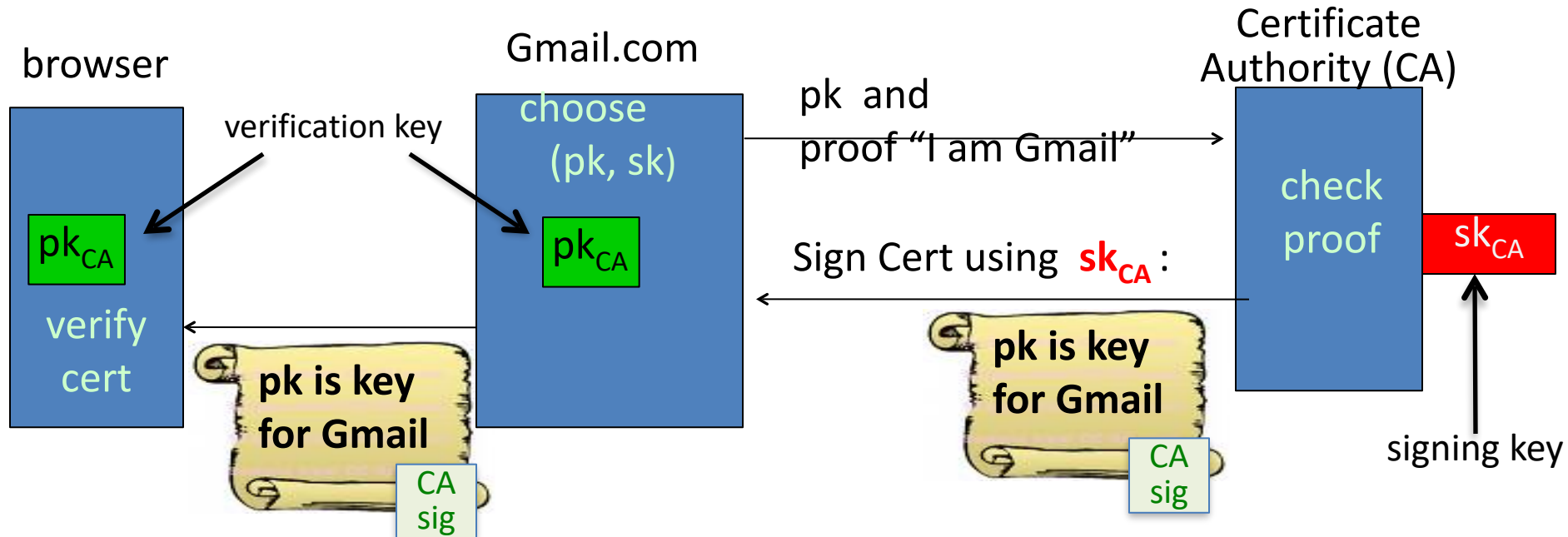




# Important application: Certificates

Problem: browser needs server's public-key to setup a session key

Solution: server asks trusted 3<sup>rd</sup> party (CA) to sign its public-key pk




**Server uses Cert for an extended period** (e.g. one year)

# Certificates: example

## Important fields:

<b>Serial Number</b>	5814744488373890497	←
<b>Version</b>	3	
<b>Signature Algorithm</b>	SHA-1 with RSA Encryption ( 1.2.840.113549.1.1.5 )	
<b>Parameters</b>	none	
<b>Not Valid Before</b>	Wednesday, July 31, 2013 4:59:24 AM Pacific Daylight Time	
<b>Not Valid After</b>	Thursday, July 31, 2014 4:59:24 AM Pacific Daylight Time	
<b>Public Key Info</b>		
<b>Algorithm</b>	Elliptic Curve Public Key ( 1.2.840.10045.2.1 )	
<b>Parameters</b>	Elliptic Curve secp256r1 ( 1.2.840.10045.3.1.7 )	
<b>Public Key</b>	65 bytes : 04 71 6C DD E0 0A C9 76 ...	←
<b>Key Size</b>	256 bits	
<b>Key Usage</b>	Encrypt, Verify, Derive	
<b>Signature</b>	256 bytes : 8A 38 FE D6 F5 E7 F6 59 ...	←

Equifax Secure Certificate Authority  
↳ GeoTrust Global CA  
↳ Google Internet Authority G2  
↳ mail.google.com

 **mail.google.com**  
Issued by: Google Internet Authority G2  
Expires: Thursday, July 31, 2014 4:59:24 AM Pacific Daylight Time  
✔ This certificate is valid

▼ **Details**

<b>Subject Name</b>		
<b>Country</b>	US	
<b>State/Province</b>	California	
<b>Locality</b>	Mountain View	
<b>Organization</b>	Google Inc	
<b>Common Name</b>	mail.google.com	←
<b>Issuer Name</b>		
<b>Country</b>	US	
<b>Organization</b>	Google Inc	
<b>Common Name</b>	Google Internet Authority G2	

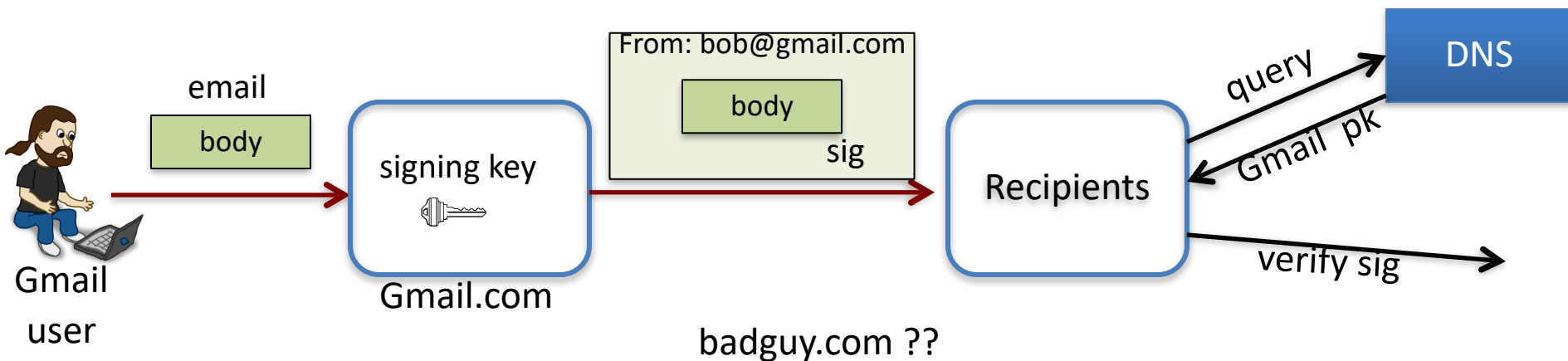
What entity generates the CA's secret key  $sk_{CA}$  ?

- the browser
- Gmail
- the CA
- the NSA

# Signing email: DKIM (domain key identified mail)

Problem: bad email claiming to be from **someuser@gmail.com**  
but in reality, mail is coming from domain **badguy.com**  
⇒ Incorrectly makes gmail.com look like a bad source of email

Solution: **gmail.com** (and other sites) sign every outgoing mail



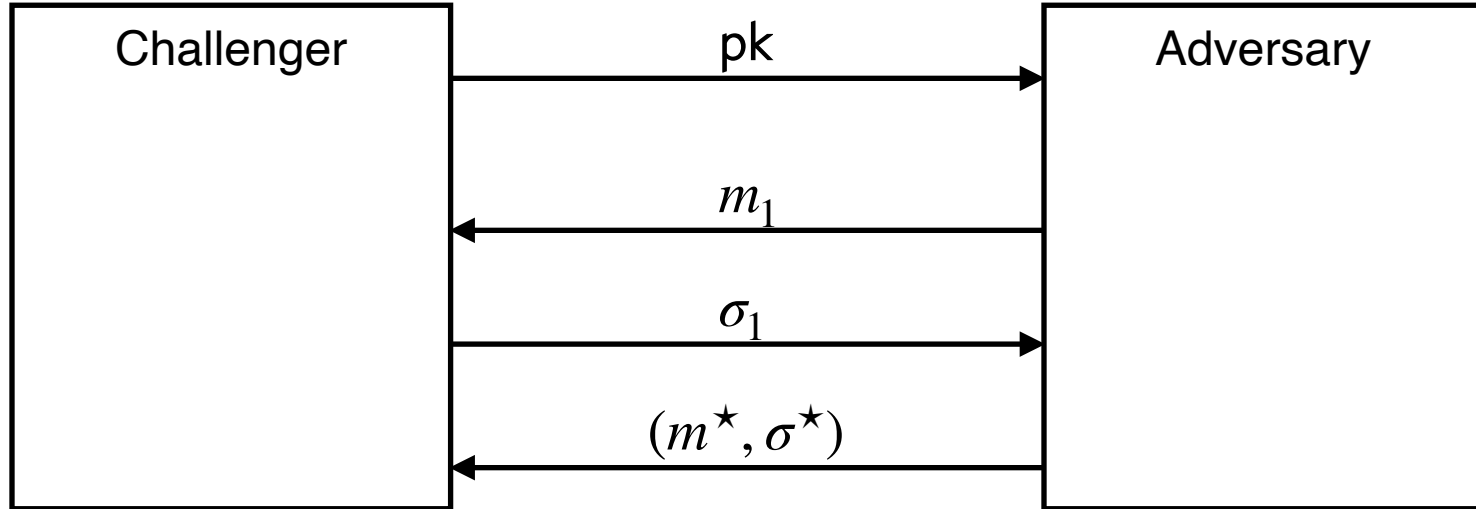
# When to use signatures

Generally speaking:

- If one party signs and one party verifies: **use a MAC**
  - Often requires interaction to generate a shared key
  - Recipient can modify the data and re-sign it before passing the data to a 3<sup>rd</sup> party
- If one party signs and many parties verify: **use a signature**
  - Recipients **cannot** modify received data before passing data to a 3<sup>rd</sup> party (non-repudiation)

# Constructions

# Simpler Goal: EUF-CMA for *1-time Signatures*



$$\Pr \left[ \begin{array}{c} m^* \neq m_1 \\ \text{and} \\ \text{Verify}(pk, m^*, \sigma^*) = 1 \end{array} \right] = \text{negl}(\lambda)$$

# Lamport (One-time) Signatures from OWFs

Signing Key  $sk$ :  $\begin{pmatrix} x_0 \\ x_1 \end{pmatrix}$

Public Key  $pk$ :  $\begin{pmatrix} y_0 = f(x_0) \\ y_1 = f(x_1) \end{pmatrix}$

Signing a bit  $b$ : The signature is  $\sigma = x_b$

Verifying  $(b, \sigma)$ : Check if  $f(\sigma) = y_b$

**Claim**: Assuming  $f$  is a OWF, no PPT adversary can produce a signature of  $\bar{b}$  given a signature of  $b$ .



# Lamport (One-time) Signatures for $n$ bits

Secret Key  $sk$ : 
$$\begin{pmatrix} x_{1,0} & x_{2,0} & \dots & x_{n,0} \\ x_{1,1} & x_{1,1} & \dots & x_{n,1} \end{pmatrix}$$

Public Key  $pk$ : 
$$\begin{pmatrix} y_{1,0} & y_{2,0} & \dots & y_{n,0} \\ y_{1,1} & y_{2,1} & \dots & y_{n,1} \end{pmatrix}$$
 where  $y_{i,b} = f(x_{i,b})$ .

Signing  $m = (m_1, \dots, m_n)$ : 
$$\sigma = (x_{1,m_1}, x_{2,m_2}, \dots, x_{n,m_n})$$

**Claim:** Assuming  $f$  is a OWF, no PPT adv can produce a signature of  $\underline{m}$  given a signature of a single  $\underline{m'} \neq m$ .

**Claim:** Can forge signature on any message given the signatures on (some) two messages.

# Lamport (One-time) Signatures for arbitrary bits

Secret Key  $sk$ : 
$$\begin{pmatrix} x_{1,0} & x_{2,0} & \dots & x_{n,0} \\ x_{1,1} & x_{1,1} & \dots & x_{n,1} \end{pmatrix}$$

Public Key  $pk$ : 
$$\begin{pmatrix} y_{1,0} & y_{2,0} & \dots & y_{n,0} \\ y_{1,1} & y_{2,1} & \dots & y_{n,1} \end{pmatrix}$$
 where  $y_{i,b} = f(x_{i,b})$ .

Signing  $m$ :

1.  $z := H(m)$
2.  $\sigma = (z_{1,m_1}, z_{2,m_2}, \dots, z_{n,m_n})$

**Claim**: Assuming  $H$  is CRH and  $f$  is a OWF, no PPT adv can produce a signature of  $\underline{m}$  given a signature of a single  $\underline{m'} \neq \underline{m}$ .

**Claim**: Can forge signature on any message given the signatures on (some) two messages.

# Constructing a Signature Scheme

Step 0. Still one-time, but arbitrarily long messages.

Step 1. Many-time: Stateful, Growing Signatures.

Step 2. How to Shrink the signatures.

Step 3. How to Shrink Alice's storage.

Step 4. How to make Alice stateless.

Step 5 (*optional*). How to make Alice stateless and deterministic.

So far, only one-time security...

# Constructing a Signature Scheme

**Theorem** [Naor-Yung'89, Rompel'90]

(EUF-CMA-secure) Signature schemes exist assuming that one-way functions exist.

**TODAY:**

(EUF-CMA-secure) Signature schemes exist assuming that collision-resistant hash functions exist.

# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.  
Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.  
Idea: *Randomization*

Step 5 (*optional*). How to make Alice stateless and deterministic. Idea: *PRFs*.

# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Alice starts with a secret signing Key  $sk_0$

When signing a message  $m_1$ :

Generate a new pair  $(sk_1, pk_1)$

Produce signature  $\sigma_1 \leftarrow \text{Sign}(sk_0, m_1 || pk_1)$

**Output**  $pk_1 || \sigma_1$ .

Remember  $pk_1 || m_1 || \sigma_1$  as well as  $sk_1$ .

To verify a signature  $pk_1 || \sigma_1$  for message  $m_1$ :

Run **Verify** $(pk_0, pk_1 || m_1, \sigma_1) = 1$

# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Alice starts with a secret signing Key  $sk_0$

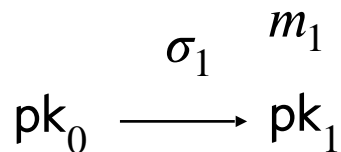
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# Step 1: Stateful Many-time Signatures

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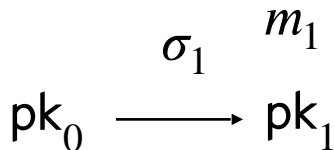
When signing the next message  $m_2$

Generate a new pair  $(sk_2, pk_2)$

Produce signature  $\sigma_2 \leftarrow \text{Sign}(sk_1, m_2 || pk_2)$

Output ???

Alice	$pk_0$



# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

Alice starts with a secret signing Key  $sk_0$

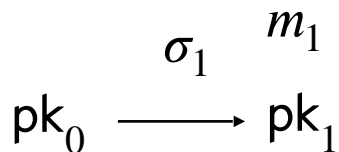
When signing the next message  $m_2$

Generate a new pair  $(sk_2, pk_2)$

Produce signature  $\sigma_2 \leftarrow \text{Sign}(sk_1, m_2 || pk_2)$

Output  $pk_2 || \sigma_2??$

Alice	$pk_0$



# Step 1: Stateful Many-time Signatures

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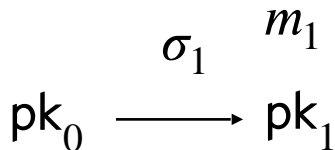
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Generate a new pair  $(sk_2, pk_2)$

Produce signature  $\sigma_2 \leftarrow \text{Sign}(sk_1, m_2 || pk_2)$

Output  $pk_1 || pk_2 || \sigma_2??$

Alice	$pk_0$



# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

Alice	pk <sub>0</sub>

Alice starts with a secret signing Key sk<sub>0</sub>

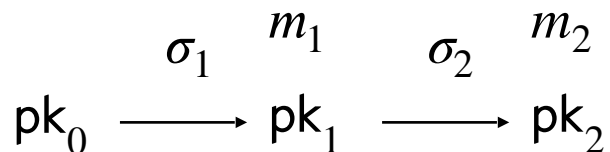
When signing the next message  $m_2$

Generate a new pair (sk<sub>2</sub>, pk<sub>2</sub>)

Produce signature  $\sigma_2 \leftarrow \text{Sign}(\text{sk}_1, m_2 || \text{pk}_2)$

Output (pk<sub>1</sub> || m<sub>1</sub> ||  $\sigma_1$ ) || pk<sub>2</sub> ||  $\sigma_2$

(additionally) remember pk<sub>2</sub> || m<sub>2</sub> ||  $\sigma_2$  as well as sk<sub>2</sub>.

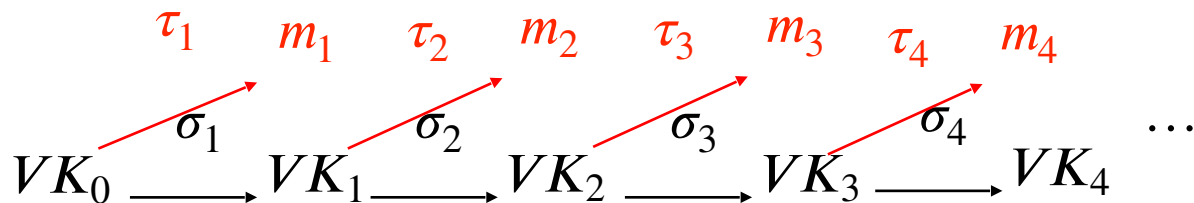


# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Two major problems:

1. *Alice is stateful*: Alice needs to remember a whole lot of things,  $O(T)$  information after  $T$  steps.
2. *The signatures grow*: Length of the signature of the  $T$ -th message is  $O(T)$ .



# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

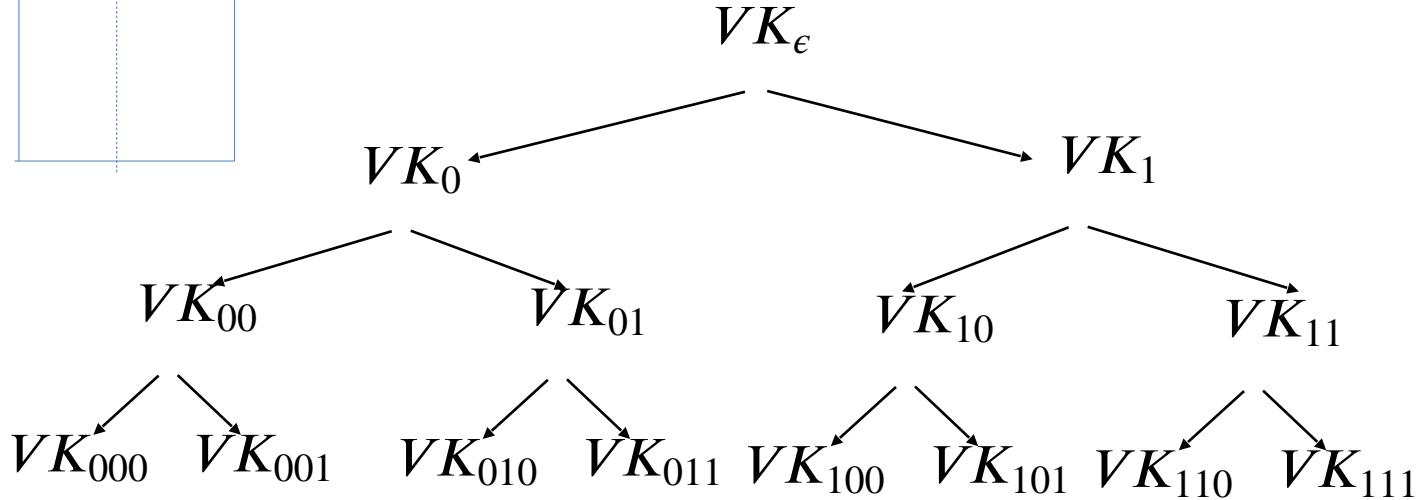
Alice	$VK_\epsilon$

**Step 2.** How to Shrink the signatures.

$$VK_\epsilon$$

Alice	$VK_\epsilon$

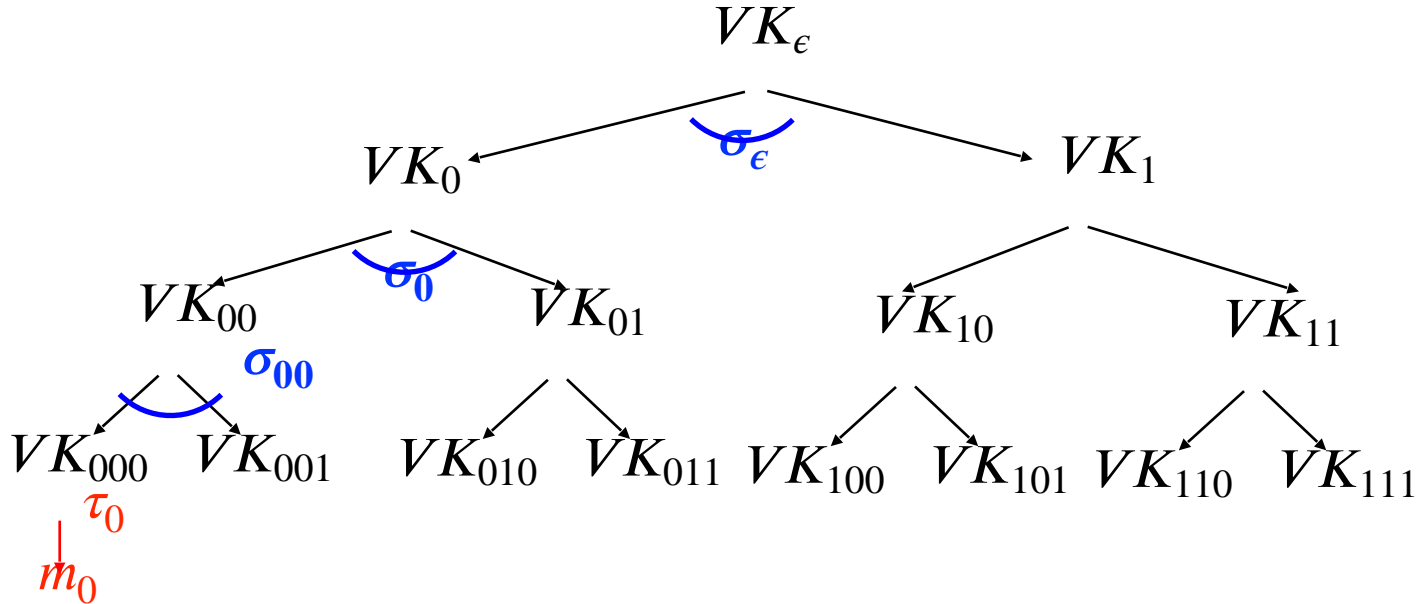
## Step 2. How to Shrink the signatures.



Alice (the *stateful* signer) computes many  $(VK, SK)$  pairs and arranges them in a tree of depth = sec. param.  $\lambda$



## Step 2. How to Shrink the signatures.

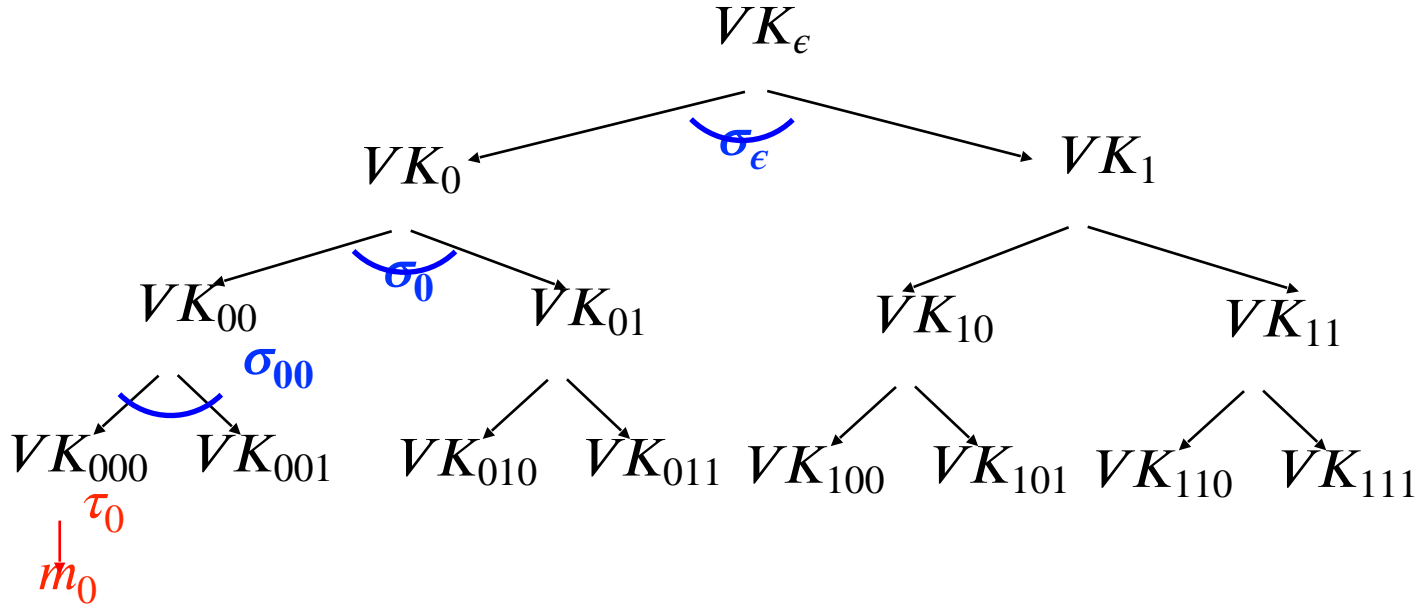


**Signature of the first message  $m_0$ :**

Use  $VK_{000}$  to sign  $m_0$ .

“Authenticate”  $VK_{000}$  using the “signature path”.

## Step 2. How to Shrink the signatures.



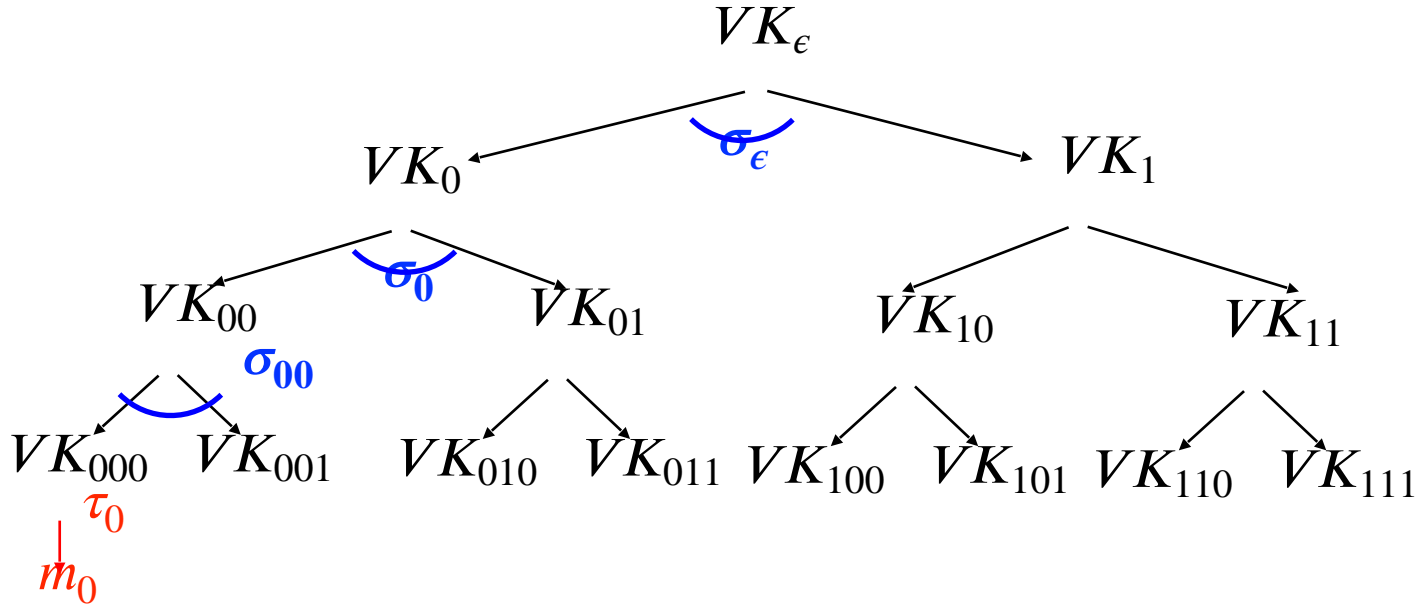
Signature of the first message  $m_0$ :

$$(\sigma_\epsilon \leftarrow \text{Sign}(SK_\epsilon, VK_0 || VK_1),$$

$$\sigma_{00} \leftarrow \text{Sign}(SK_{00}, VK_{000} || VK_{001}),$$

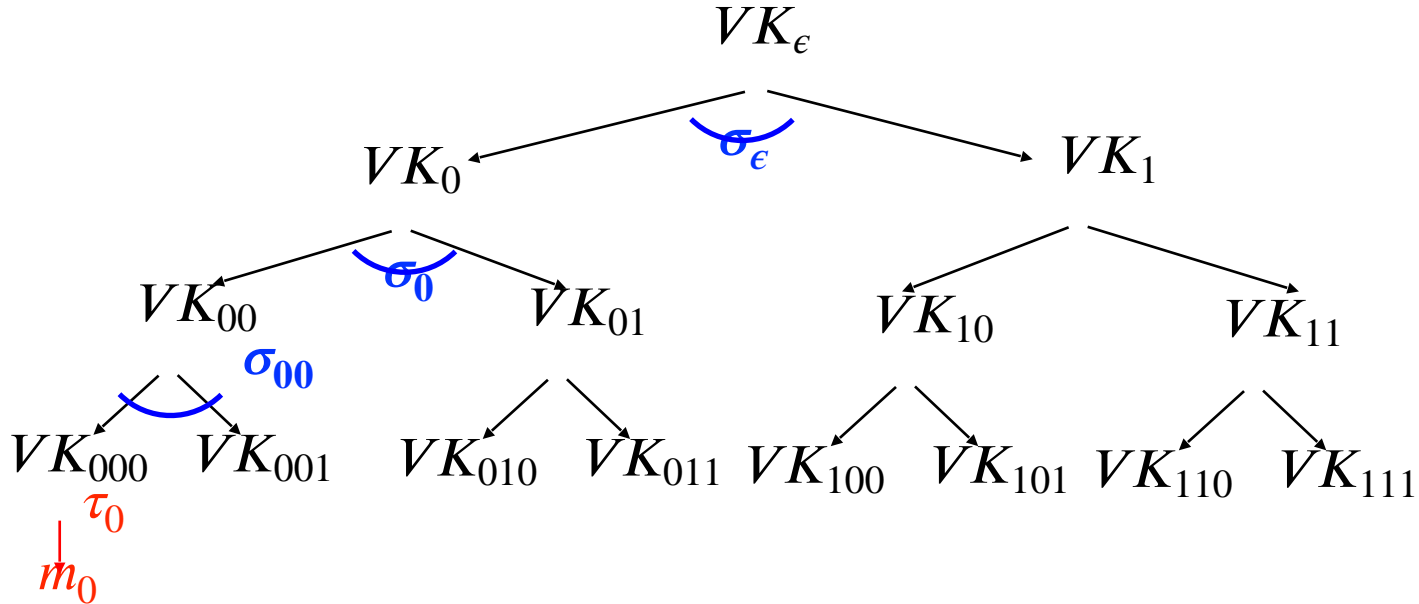
$$\tau_0 \leftarrow \text{Sign}(SK_{000}, m_0))$$

## Step 2. How to Shrink the signatures.



**Authentication Path for  $VK_{000}$ :**  
 $(\sigma_\epsilon \leftarrow \text{Sign}(SK_\epsilon, VK_0 || VK_1),$   
 $\sigma_0 \leftarrow \text{Sign}(SK_0, VK_{00} || VK_{01}),$   
 $\sigma_{00} \leftarrow \text{Sign}(SK_{00}, VK_{000} || VK_{001}))$

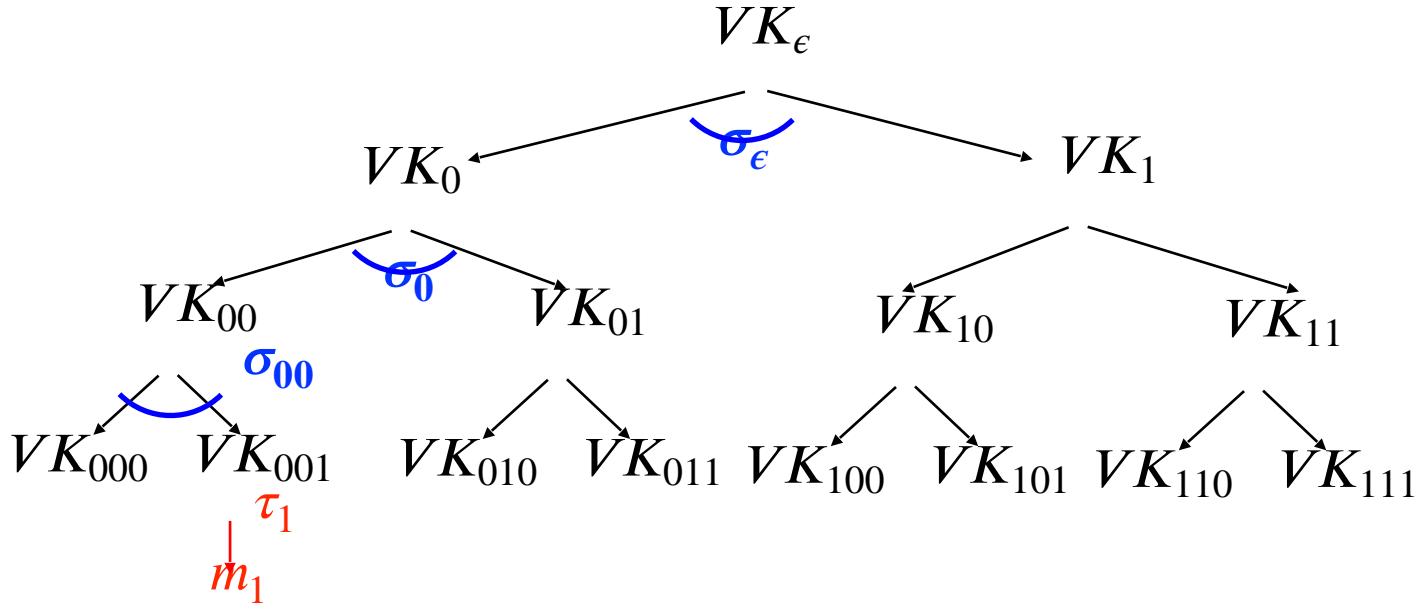
## Step 2. How to Shrink the signatures.



**Signature of the first message  $m_0$ :**  
(Authentication path for  $VK_{000}$ ,

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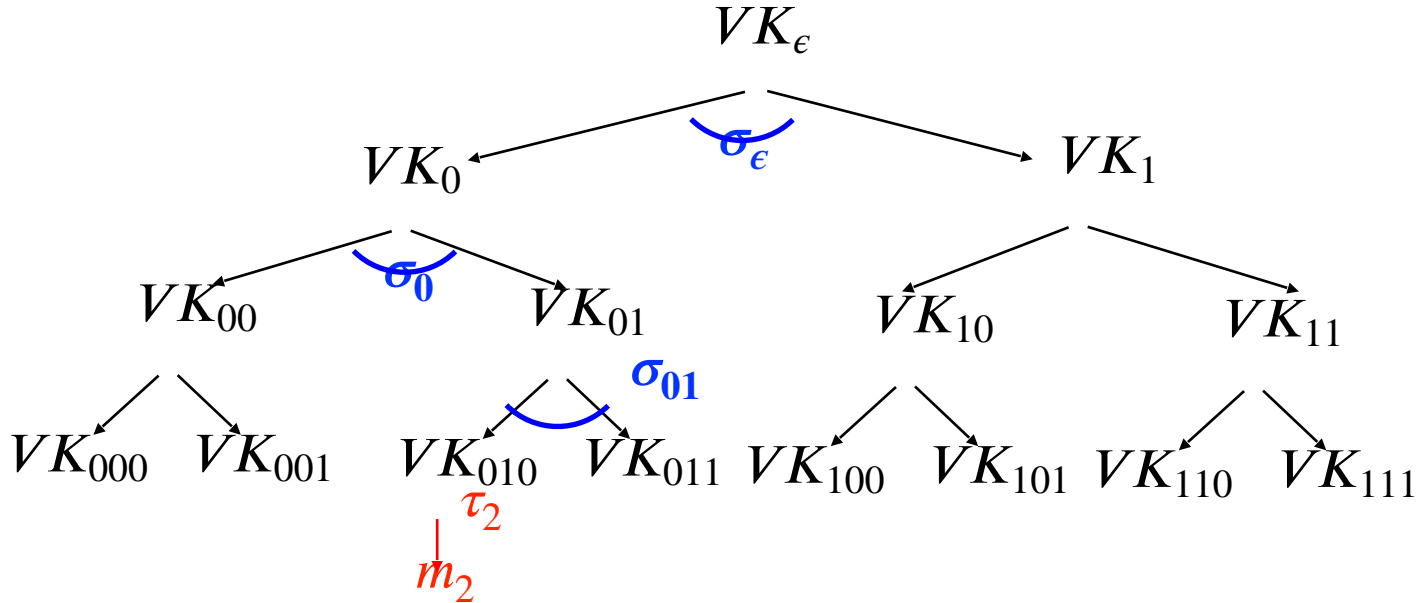
## Step 2. How to Shrink the signatures.



**Signature of the second message  $m_1$ :**  
(Authentication path for  $VK_{001}$ ,

$$\tau_0 \leftarrow \text{Sign}(SK_{001}, m_1)$$

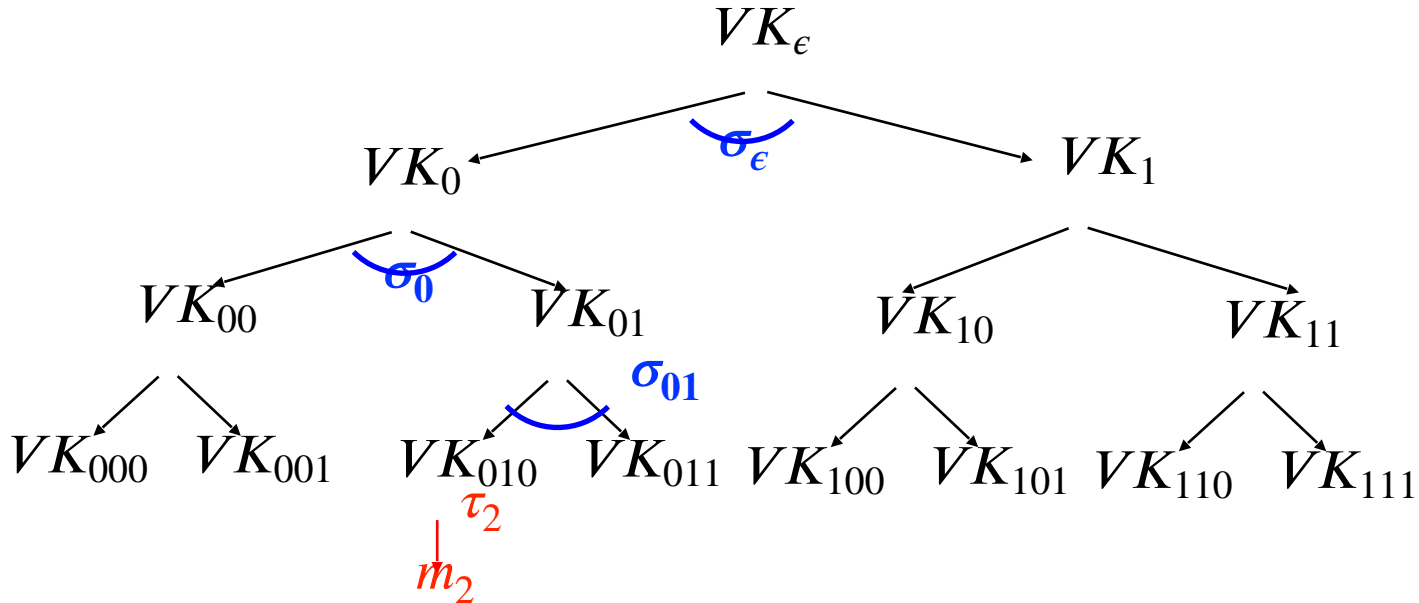
## Step 2. How to Shrink the signatures.



**Signature of the third message  $m_2$ :**  
(Authentication path for  $VK_{010}$ ,

$$\tau_2 \leftarrow \text{Sign}(SK_{010}, m_2)$$

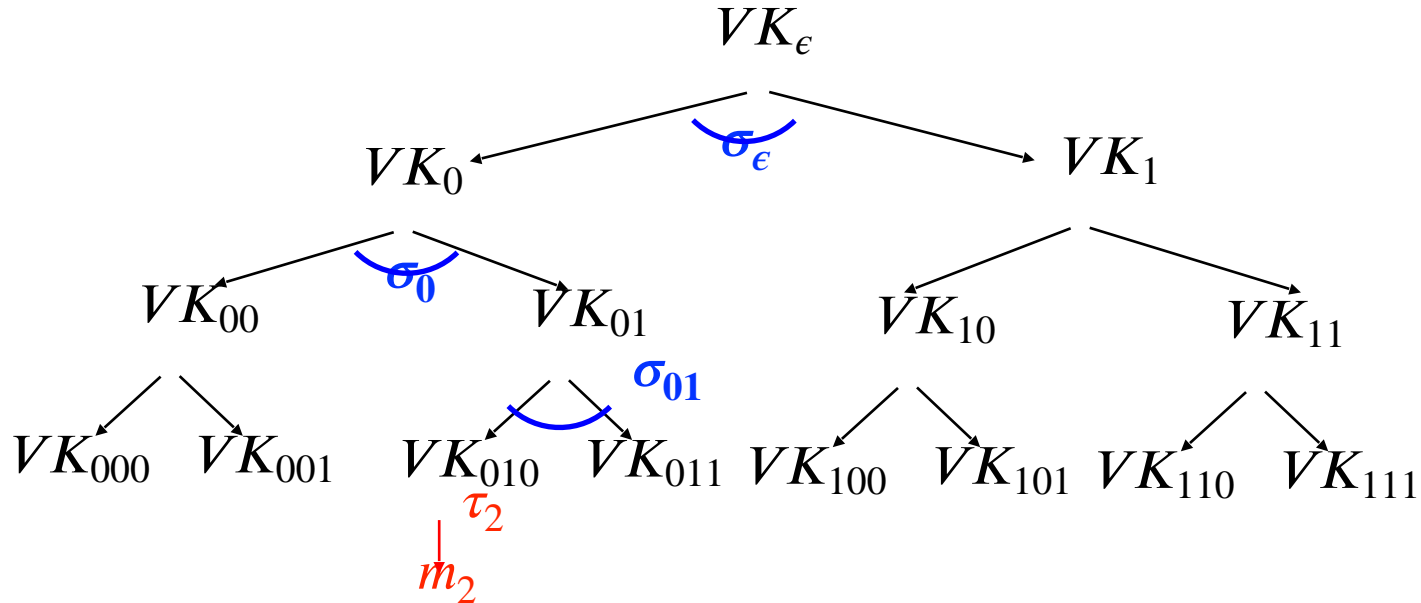
## Step 2. How to Shrink the signatures.



**GOOD NEWS:** 

Each verification key (incl. at the leaves) is used only once, so one-time security suffices!

## Step 2. How to Shrink the signatures.

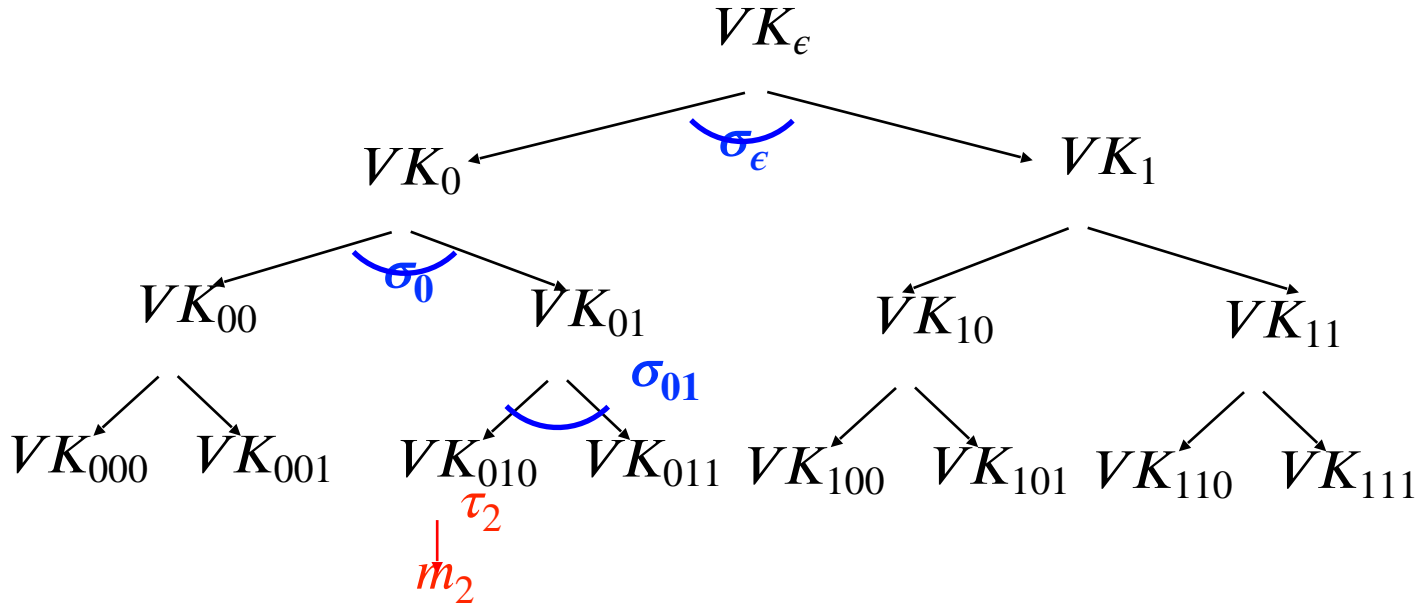


**GOOD NEWS:** 

Signatures consist of  $\lambda$  one-time signatures and do not grow with time!



## Step 2. How to Shrink the signatures.



**BAD NEWS:** 😞

Signer generates and keeps the entire ( $\approx 2^\lambda$ -size) signature tree in memory!

# (Many-time) Signature Scheme

In four+ steps

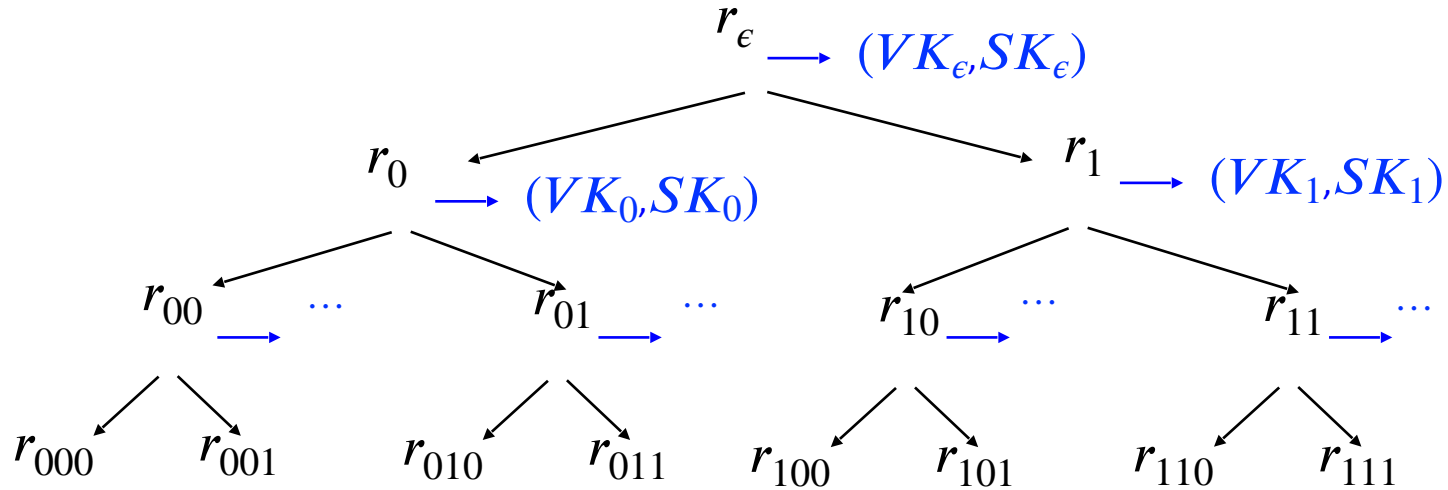
Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.

Idea: *Pseudorandom Trees*

### Step 3. Pseudorandom Signature Trees.



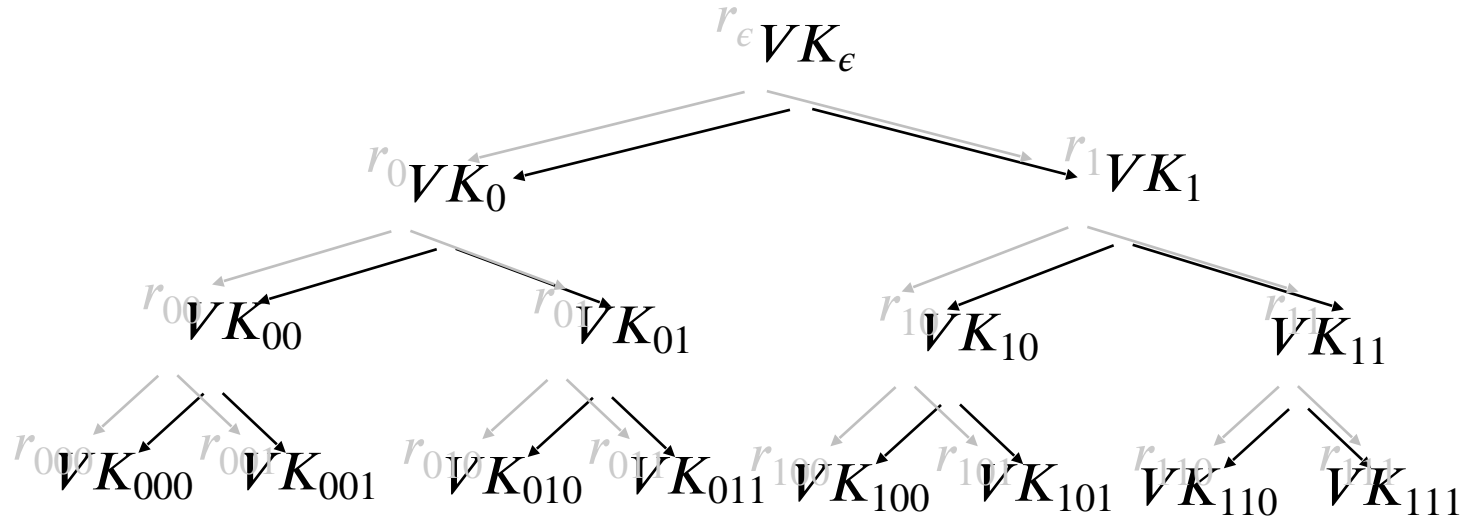
#### Tree of pseudorandom values:

The signing key is a PRF key  $K$ .

Populate the nodes with  $r_x = PRF(K, x)$ .  
Use  $r_x$  to derive the keys  $x$

$(VK_x, SK_x) \leftarrow Gen(1^\lambda; r_x)$ .

### Step 3. Pseudorandom Signature Trees.



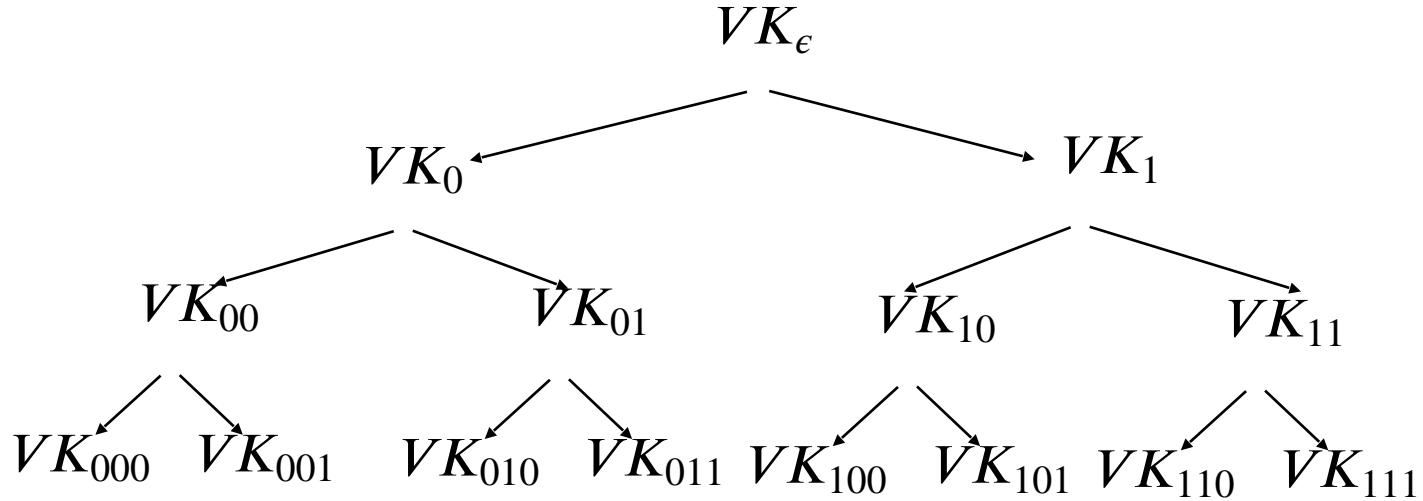
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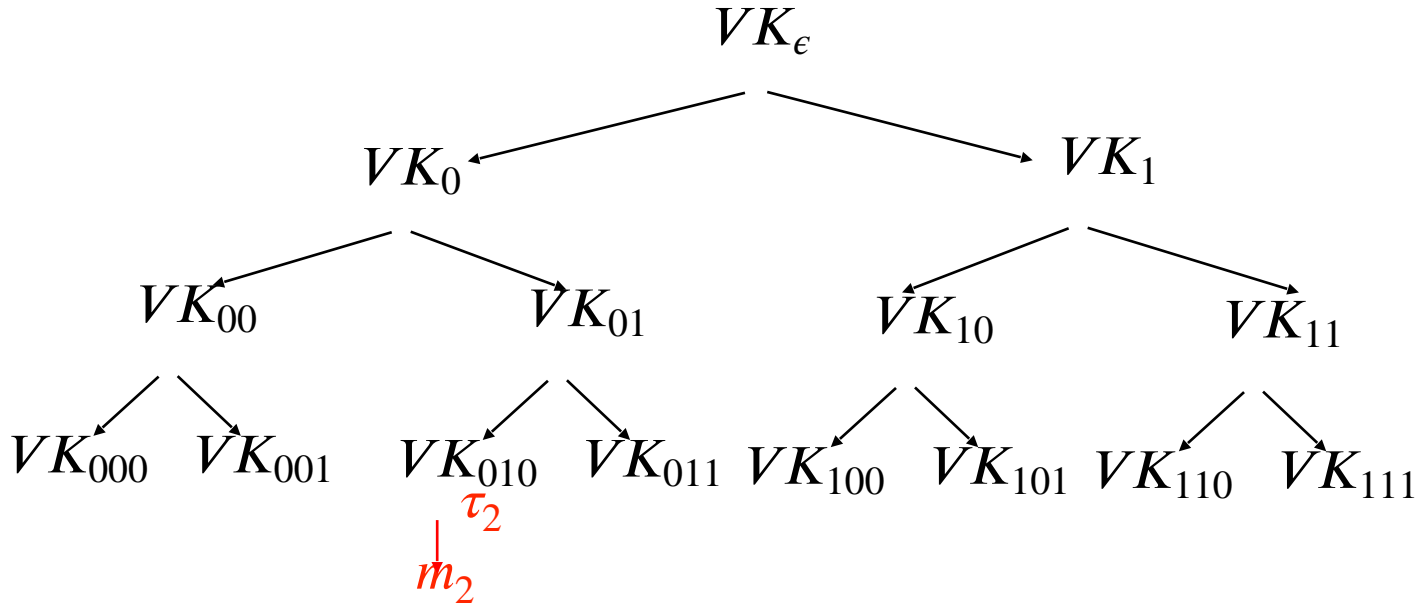
### Step 3. Pseudorandom Signature Trees.



**GOOD NEWS:** 

Short signatures and small storage for the signer

### Step 3. Pseudorandom Signature Trees.



**BAD NEWS:** 😞

Signer needs to keep a counter indicating which *leaf* (which tells her which secret key) to use next.

# (Many-time) Signature Scheme

In four+ steps

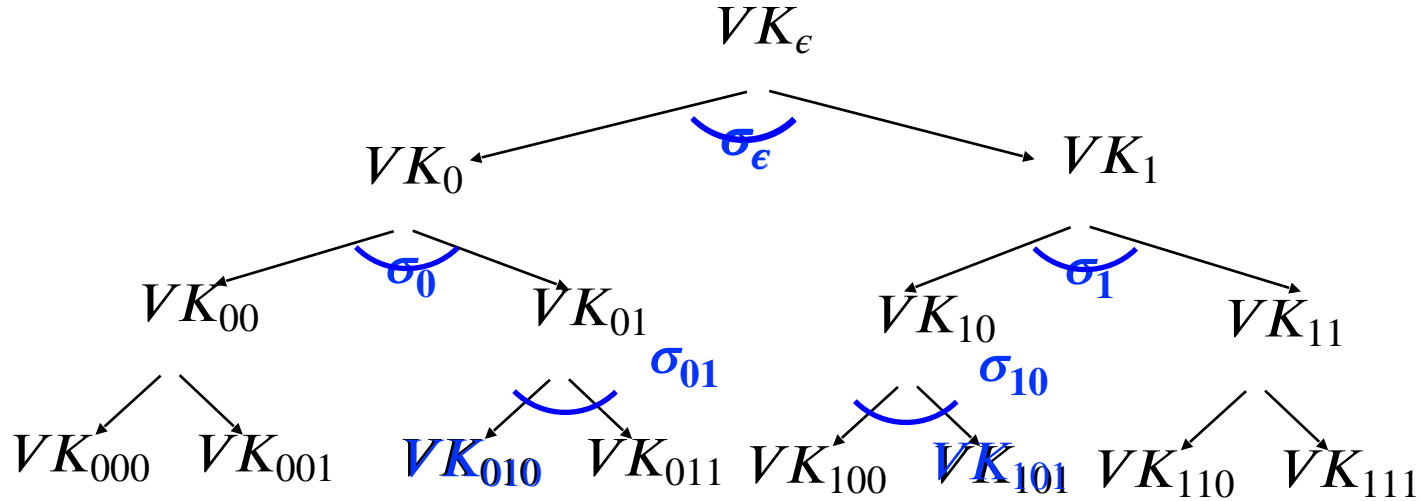
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Step 3. How to Shrink Alice's storage.  
Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.  
Idea: *Randomization*

## Step 4. Statelessness via Randomization



**Signature of a message  $m$ :**

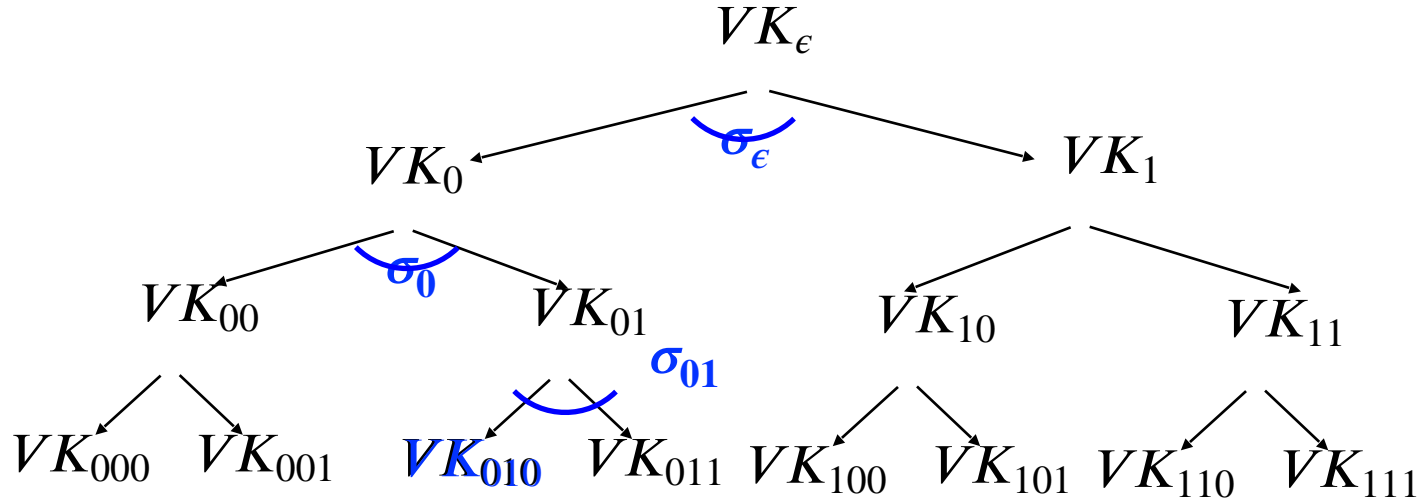
Pick a **random** leaf  $r$ . Use  $VK_r$  to sign  $m$ .

$$\sigma_r \leftarrow \text{Sign}(SK_r, m)$$

Output  $(r, \sigma_r, \text{authentication path for } VK_r)$



## Step 4. Statelessness via Randomization

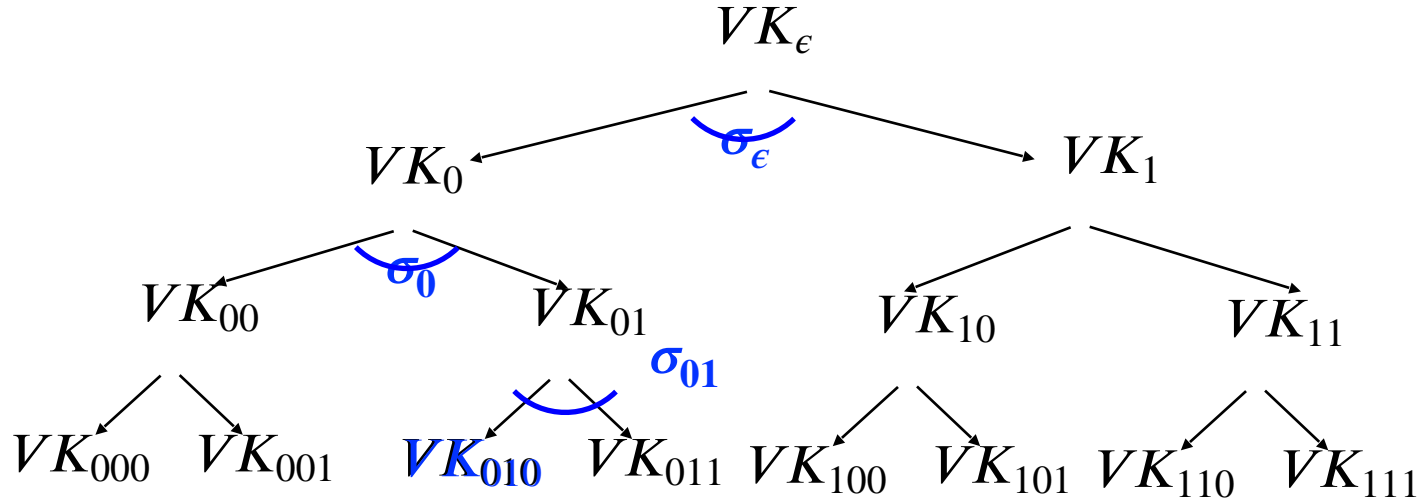


**GOOD NEWS:**



No need to keep state.

## Step 4. Statelessness via Randomization



### Key Idea:

If the signer produces  $q$  signatures, the probability she picks the same leaf twice is  $\leq q^2/2^\lambda$ .

# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

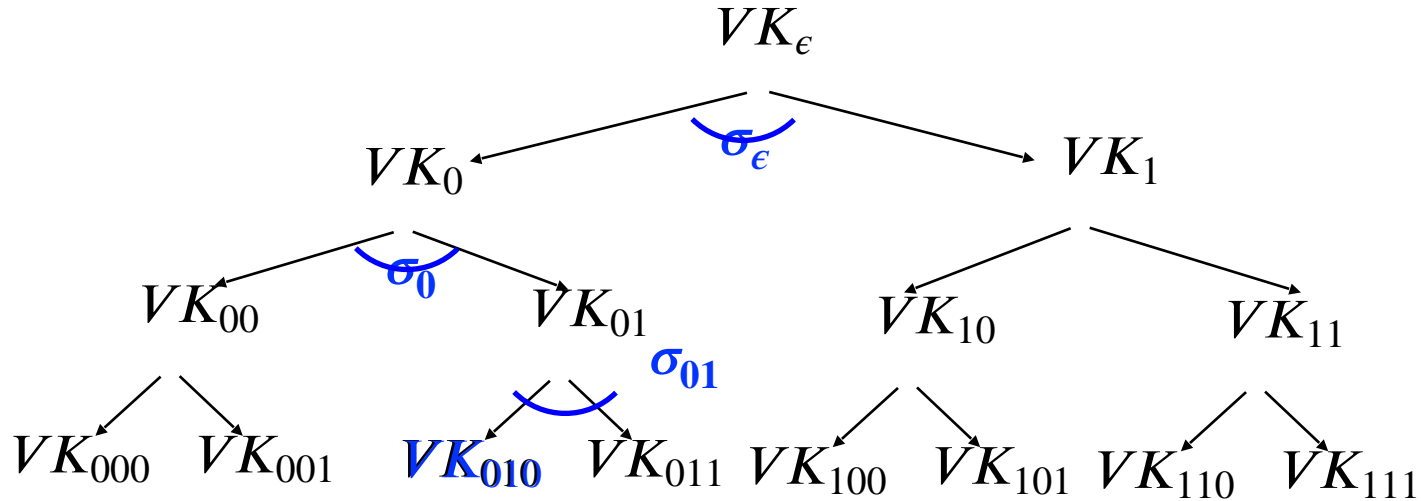
Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.  
Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.  
Idea: *Randomization*

Step 5 (*optional*). How to make Alice stateless and deterministic. Idea: *PRFs*.

## Step 5. Making the Signer Deterministic.



### Key Idea:

Generate  $r$  pseudo-randomly.

Have another PRF key  $K'$  and let  $r = PRF(K', m)$

**That's it for the construction.**